1 BEFORE THE NEW YORK STATE SENATE BIPARTISAN TASK FORCE ON GOVERNMENT EFFICIENCY 2 3 New York State Senate Public Forum on 4 SUNY Operations 5 Meeting Room A 6 The Legislative 7 Office Building Albany, NY 8 March 11th, 2010 1:09 p.m. 9 10 PRESIDING: 11 Senator Jeffrey D. Klein Chair 12 Senate Task Force on Government 13 Efficiency 14 PRESENT: 15 16 Senator William Stachowski Senator George D. Maziarz 17 Senator Andrea Stewart-Cousins 18 Senator Craig Johnson 19 20 Senator Jose M. Serrano Senator Darrel Aubertine 21 22 Senator David J. Valesky 23 Senator Brian X. Foley 24 Senator Diane J. Savino

1	LIST OF PARTICIPANTS	
2	TEST	IMONY
3	Monica Rimai Senior Vice Chancellor and	8
4	Chief Operating Officer SUNY	
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6	Executive Director NYS Law Enforcement Officers	0.0
7	Union, Council 82, AFSCME, AFL-CIO	
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9	Executive Vice President NYS University Police Officers	
10	Union, Council 82, AFSCME, AFL-CIO Also Present: Terry O'Neill	
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CHAIRMAN KLEIN: Well, I want to thank everyone for coming. I'm State Senator Jeff Klein, the Deputy Majority Leader and the Chair of the new Task Force on Government Efficiency.

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And I do want to recognize my colleges who are with us today. Senator Bill Stachowski from western New York. We also have with us Senator David Valesky from central New York. We have with us from Nassau County, Senator Craig Johnson. Senator Brian Foley from Suffix County. Senator Diane Savino who represents a district consisting of parts of Brooklyn and Staten Island. And we have Jason who's our Chief of Staff to Senator Tom Morahan, who's also on our task force.

Before we get started, Senator Morahan wanted me to read a brief statement. Unfortunately, he couldn't be with us today.

I also want to recognize Senator
Marco Serrano from Bronx County. Also a
member of our task force.

Senator Morahan couldn't be here 1 2 today, but, as I said, he wanted me to read in to the record his statement. 3 It reads (Reading) "I am pleased that the Bipartisan 4 5 Task Force on Government Efficiency will be providing hardworking taxpayers of New York 6 with a clear overview of the spending 7 8 practices of state agencies. It is 9 important that the public be reassured that 10 each government agency has in place formal 11 internal control of the prevent or detect 12 administrative waste. Today's hearing will 13 give the public the opportunity to learn 14 about the nature and caliber of internal fiscal oversight at the State University of 15 16 New York. The public expects -- expects 17 that state-funded agencies maintain tight fiscal controls, which are transparent and 18 19 available to public scrutiny. 20

Throughout the hearing process the Senate Task Force is prepared to alert the public should it be demonstrated than an agency does not have a clear policy with respect to expenses, internal auditing or 4

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other procedures critical of detecting 1 unnecessary and wasteful practices." 2 I want to thank Senator Morahan. 3 Just in a way of background, I 4 5 thought it was important, and I also want 6 to thank Senator John Sampson, the Majority 7 Conference Leader, for putting me in charge of this task force. 8 You know, clearly I think everyone 9 who's been in Albany for a while notices 10 11 the similarity that occurs each and every year during our budget process. Everyone, 12 I think, knows the movie "Groundhog Day" 13 14 where the same thing happens day after day, 15 which is very similar to our budget 16 process. The Governor, whoever the Governor 17 is, Democrat or Republican, unveils a 18 19 budget and it's always devastating cuts to healthcare and education; things that 20 21 people understand affect their daily lives. 22 And the alternative is always two things: 23 It's cuts or it's taxes. We're trying to sort of re-correct 24

that imbalance and sort of change the paradigm; to take a good hard look at government spending.

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I, in the way of background, already conducted two studies; one involving SUNY, one involving the Department of Correctional Services, where we looked for instances of ways we can save money; save the taxpayers money; protect the taxpayers in the State of New York. And I think that's something that's very, very important. Because, I think, if you look what's happened, the taxpayers in New York State have been given a bad return in their investment. And I think it's time to correct the market. And I'm hopeful that this task force will do that.

One of the things that we're going to concentrate on today, which is sort of a follow-up to the report, is SUNY.

The way we broke down the report was really three areas. The first was overtime. Presently New York State, every year, spends close to \$500 million on

overtime. SUNY spends an awful lot of that percentage of the total State overcome budget in overtime. So, we're looking to ways to reduce and sort of get a handle on what is mandatory overtime and what would be considered discretionary overtime.

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The next area is consolidation. We actually identified a way that we believe that SUNY can save the taxpayers probably about \$10 million if they consolidated the SUNY police force. The way it works now is as a separate police force, with a separate superintendent at each individual campus in the SUNY system.

The next, which we want to talk about a little bit today, is some of the very, very high doctor salary at SUNY. You know, what we're seeing is very high salaries, that in some cases might even surpass what doctors are getting in the private sector.

So, I'm very, very glad we have with us someone who can answer a lot of our questions here today.

1 But first I want to recognize, I 2 know we were joined by one of my colleagues 3 from Westchester County, Senator Andrea Stewart-Cousins. And -- and also Senator 4 5 Darrel Aubertine. But I'd like to give my 6 committee members the opportunity, anyone 7 who would like to give some brief opening 8 statements. 9 SENATOR SAVINO: No. Let's just get 10 to the --11 CHAIRMAN KLEIN: No? 12 SENATOR SAVINO: -- testimony. 13 CHAIRMAN KLEIN: Okay. 14 So, first I want to welcome Monica 15 Rimai who's the Senior Vice Chancellor and 16 Chief Operations (sic) Officer, the State 17 University of New York. I thank you for joining us today. 18 19 VICE CHANCELLOR RIMAI: Thank you. 20 Good afternoon, Senator Klein and members 21 of the Task Force On Government Efficiency. 22 My name is Monica Rimai, and I am the 23 Senior Vice Chancellor and Chief Operating 24 Officer for the State University of New

York.

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2	Before I begin my testimony I just
3	want to convey Chancellor Zimpher's regrets
4	at not being able to attend this
5	afternoon's hearing. It was her strong
6	preference to be here, but we just had a
7	number of scheduling conflicts that we
8	we couldn't work out. But I am here. And
9	I am very pleased at this opportunity to
10	talk with you today. It is very much my
11	honor to be before this esteemed panel
12	and and to represent the State
13	University of New York in this matter.
14	I just want to note that I I know
15	some of you are aware that the Chancellor
16	and I have really enjoyed the opportunity
17	to spend time with many members of this
18	panel as we've made our rounds regarding
19	the budget process, which you noted,
20	Senator Klein. We very much appreciate
21	the the warm welcome, the support and
22	the great advice that we have received
23	during this process. So, thank you very
24	much for that.

Chancellor Zimpher and I certainly do applaud the Senate for its efforts to deal with today's economic challenges in a bipartisan fashion and it's focus on reining in state spending. I think we at SUNY very much appreciate and certainly endorse our responsibility and agree that we have to employ constant vigilance and discipline in our day-to-day operations and business practices. So, we really do welcome this exchange. Personally I also want to take a 10

moment to express my great enthusiasm for one of the recommendations in the -- in Senator Klein's report regarding taking more of an enterprise approach to risk management.

I've had some experience in this area. I think there's huge potential here for efficiencies and -- and better service to the taxpayers here in New York. So, I was -- I was very pleased to see that recommendation.

And I also want to take a moment to

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express our tremendous appreciation for the report's sensitivity and acknowledgement in -- in this notion of needing to find efficiencies and cost savings without negatively affecting the quality of higher education at SUNY and the experience of -of our students.

Before I delve into the details of Senator Klein's December, 2009 report I do think it is very important to contextualize this discussion with really two basic but The first is that essential parameters. there's absolutely no doubt that SUNY faces unprecedented and severe fiscal issues. Second, SUNY is not your typical state We are an educational entity with agency. over 1.6 million constituents and we are in the business of creating opportunity for people to reach their goals, improve their quality of life and developing generations of civically minded, contributing citizens to the State of New York, indeed, the world.

With an all funds budget approaching

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\$11 billion, SUNY is a vast organization 1 2 with 64 campuses and over 87 thousand employees serving the needs of over 465 3 4 thousand full and part-time students, as well as 1.2 million continuing education 5 6 enrollees. By virtue of our size we have 7 been able to pursue some economies of scale 8 that have led to significant savings and cost avoidance. 9 Nonetheless, I would urge 10 you not to draw all your conclusions simply based upon dollar figures and size alone. 11 The sheer size and volume of the number of 12 university transactions does not fully 13 reflect SUNY's success in protecting use of 14 15 taxpayer dollars. At this point, as a --16 as a point of reference, I think it's 17 important to start with the notion that 18 only 20 percent of SUNY's budget is direct 19 state support, a number that has been 20 trending downward over the past several 21 years.

Since the 2008-2009 fiscal year, SUNY has absorbed more than \$424 million in state support reductions. While the SUNY

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Board of Trustees did take some steps last year to mitigate a small portion of those reductions with a tuition increase, fully 80 percent of that tuition revenue a \$132 million was swept by the state for purposes of closing a deficit in the state's general fund budget.

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And, frankly, the news is really not much better for the upcoming fiscal year. The Executive Budget for the 2010-11 fiscal year proposes additional reductions of \$170 million, for a potential total cut of merely 600 and million -- \$600 million in just three fiscal years.

To manage these significant cuts and to do more than our fair share of responding to the state's fiscal crisis SUNY campuses have measured ever dollar of expenditure in an effort to save money and deliver service at a lower cost. This has resulted in efficiencies in delivery methods, hard and soft hiring freezes, yet without layoffs and retrenchments of union personnel to date and the use of attrition

and the reallocation of work among the remaining workforce. Just by way of example, to date SUNY has already acted on and is planning a total workforce reduction of 2.3 percent. This at a time when our enrollment has increased by 25 thousand students.

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I don't want to give you the impression that we are trying to balance our entire budgetary situation with personnel service reductions alone because dealing with the cumulative reductions in state support the we've experienced also requires aggressive search for cost savings and efficiencies in purchases and procurement.

Some examples of these cost savings include that since 2004 SUNY had avoided over a \$11 million in electricity costs. SUNY is the leader among New York State agencies in energy procurement. SUNY's Energy Buying Group buys electricity directly from wholesale markets where SUNY is a direct customer of the New York

1 Independent System Operator. Βy 2 eliminating retail suppliers SUNY saves 3 \$1.4 million per year for 29 state-operated 4 and community colleges. 5 The SUNY Energy Office also manages State Office of General Services direct 6 7 customer program reducing their overhead 8 cost by 50 percent and saving O.G.S. \$1.5 million per year. 9 SUNY saved nearly \$20 million in 10 11 university wide contracting by going 12 directly to the Oracle Corporation through 13 an R.F.P. process for software contracts 14 instead of using the New York State Office of General Services' vendor contract. 15 Our 16 savings were the result of the ability to 17 contract as a consolidated educational institution versus a state entity. 18 19 SUNY has an estimated annual savings 20 of \$9.9 million in financial and management 21 transactions across its campuses through an 22 integrated state-of-the-art, totally 23 online, Finance and Management System 24 encompassing procurement, receivables,

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accounts payable and management.

These examples that I've just listed total up to about \$50 million in savings, efficiencies and a cost avoidance in the past five years. And, frankly, the list could go on from here.

So, I think as you can see, SUNY administration in very close cooperation with its continuant campuses is pursing its day-to-day operations in a disciplined and diligent manner.

We certainly understand and appreciate this current fiscal environment in which we are operating, and while we can always do better and should constantly strive for excellence in this area, as I stated earlier, we are conscientious stewards of our limited taxpayer dollars.

At this point I think it's critically important to SUNY's future to spend just a few minutes discussing a serious and significant solution to the challenges presented by the current economic environment, particularly as it

relates to declining state support for higher education. Moreover, given this panels commitment and dedication to the pursuit of efficiencies and cost savings, I would think the solution would very naturally find great support among some of Of course, I am speaking about the you. Public Higher Education Empowerment and Innovation Act. This act harnesses high-impact, zero-cost solutions to our current financial challenges that will create jobs, build a foundation for tomorrow's economy and strengthen public higher education. With more responsibility for its own

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finances, the State University would be able to streamline its operations, raise revenues and target its spending on academic pursuits that will not only benefit the students we serve, but also create a larger union workforce and a better work environment.

With the implementation of the Empowerment and Innovation Act, SUNY

estimates that over the next ten years, its campuses will be able to generate funding to help create more than -- more than 10 thousand new jobs and leverage over \$8.5 billion in capital construction.

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In addition to enhancing SUNY's administrative tools, the Empowerment Act raises the standard for accountability and transparency, with unprecedented reporting requirements on the use of taxpayer funding, as well as tuition, fees and other campus generated funding. Furthermore, the act allows us to pursue public private partnerships and land use opportunities in a direct and upfront fashion.

We know fiscal times are tough, but we hope the Governor and the Legislature can see that higher education is New York's ticket out of this economic downturn. One only need look to the return on investment New York State enjoys from the State University of New York to know that this assertion is true. For every state dollar received by SUNY the university generates

\$8 in total spending in the state. With an all-funds budget of nearly \$8 billion, approximately 4 billion of which comes from the general state fund, SUNY's economic impact equals \$28.4 billion.

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With all this context in place, I'd like to now turn to the specific concerns raised by the 2009 report. As you know, the task force announcement and other communications regarding today's hearing speaks to waste, runway spending practices, excessive overtime costs and redundant administrative practices. Although, very respectfully, SUNY nevertheless strongly disagrees with this characterization of our great university.

With regard to general spending, the December 2009 report claims that, quote, "If SUNY had been able to find ways to cut what it paid out in salary and wages during fiscal year 2008-09 by just 1 percent the state would have saved \$30 million", closed quote. This statement compels me to say again that SUNY has had to shoulder \$424

1 million in cuts to our state support in 2 just the last two fiscal years, including 3 the redirection of \$132 million in tuition. 4 In addition, I would point out that in the mist of all of these state reductions the 5 state continues to pay the collective 6 7 bargaining increases for unionized SUNY 8 employees which total \$83.5 million in this 9 year alone. Given these facts, along with 10 the hard and soft hiring fee -- freezes and 11 the heavy reliance on attrition, it is hard 12 to imagine how we could be expected to 13 degrease the salary and wages of our remaining workforce. 14 15 With regard to -- to overtime 16 spending, the December 2009 report 17 expresses dismay over SUNY sending \$41.6 million on overtime in 2008-09 compared to 18 19 \$29.9 million for the first half of the 20 2009-10 fiscal year. The report 21 specifically highlights the spending levels 22 at the Brooklyn and Syracuse Academic 23 Health Centers and SUNY Farmingdale. 24 To better understand SUNY's

personnel spending, it is critically important that we all recognize that SUNY is an educational institution consistently operating well beyond Monday through Friday from 8:30 a.m. to 5:00 p.m. SUNY operates 64 campuses, 3 hospitals and houses over 75 students -- 75 thousand students throughout the year in our residence halls. As such, we are a 24 hour a day 7 day a week 365 day a year operation. Simply put, overtime is our reality.

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A more detailed review of the state overtime data set forth in the report reveals that the average cost across all state agencies for overtime spending versus salary and wages is 3.1 percent. SUNY's average percentage, however, is only 1.4 percent.

Moreover, based upon data provided by the Hospital Association of New York State, SUNY spending on overtime at hospitals as a percentage of total salary was 2.3 percent, while the statewide average for all hospitals, public and

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private, was 4.9 percent.

2 With regard to the issues relative 3 to the three SUNY campuses noted in the 4 report, looking first to the overtime 5 expenditures at the Academic Health Centers 6 in Brooklyn and Syracuse, we must again put 7 into context the fact that each of their 8 individual operational budgets are 9 approximately \$500 million annually, thus 10 the overtime noted in the report equates to 11 only .01 percent of their operating 12 budgets. Again, as compared to the 13 statewide hospital average of 4.9 percent. 14 These expenditures were for nursing 15 care and maintenance staff. And we simply 16 cannot overstate the importance of 17 delivering guality, critical healthcare and 18 how that must be managed within the 19 scope -- within the state's nursing and 20 allied profession shortages. Frankly, 21 these shortages make overtime a necessary 22 and critical expense for all hospital 23 operating budgets.

As for overtime spending at SUNY --

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state support have severely limited that option. The simple fact is that it costs less to pay overtime to our union workers under the state's Civil Service agreements and regulation than to hire new employees due to the combined costs of salaries and fringe benefits.

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In fact, our spending in this area might really be seen as responsible given the current economic realities and that we have saved the state \$7.5 million by not hiring new employees and exercising management practices to maximize the use of our depleting state resources. Ιn addition, thus for, we have avoided layoffs and have used attrition, as well as soft and hard hiring freezes to generate operational savings. The reality is, however, that if we are to continue our mission and maintain proper levels of services to our campus communities we must utilize overtime as a cost saving tool.

> Turning to the issues relative to the State University of New York's police

1 force, I begin by saying that as a former 2 federal prosecutor, I have a great deal of 3 respect for our law enforcement officers 4 and for the remarkable work they diligently 5 perform every day in every way. To this end, I am much inclined to play (sic) close 6 7 attention to their suggestions for cost savings and efficiencies. And I also want 8 9 to inform you that Chancellor Zimpher is 10 examining all SUNY campus functions for 11 operational effectiveness and efficiencies, including the issue of centralization of 12 13 the university police. Such a review will 14 take into account providing for the health, 15 safety and welfare of our more than 1.6 16 million New Yorkers who live, learn and 17 work at SUNY campuses. You most certainty 18 will be kept apprise of that review, but in the meantime I would share a few 19 20 observations. Following the devastating 21 shooting incident at Virginia Tech 22 University in April of 2007, SUNY empanelled a Task Force on Critical 23 Incident Management to review the SUNY 24

campuses state of readiness to respond to emergencies including issues of training, equipment, staffing levels and communication. As a result of that report some enhancements were made at SUNY campuses as necessary. And we will continue to review our standards to insure campus safety.

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9 Numerous campus public safety 10 organizations and studies following the 11 Virginia Tech incident have made clear that 12 campus safety is necessarily a product of 13 local conditions and experience. Boots on 14 the ground is paramount in dealing with 15 diverse campus populations. There were 16 many lessons learned from the Virginia Tech 17 incident. Indeed, the U.S. Department of Justice's National Summit on Campus Public 18 19 Safety concluded that, quote, "Safety and 20 security cannot be managed as an add-on 21 task or unit of function removed from key 22 decision makers. Interaction at the 23 highest level between the chief executive 24 and police and security professionals is

imperative as new prevention and response issues emerge."

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Furthermore, there are fundamental differences among functions and missions of New York State's police forces. These differences are based mainly on jurisdiction, statewide versus local and the diversity of our campus populations. These factors present unique and challenging opportunities for police supporting an academic institution.

So, as we review the operations of our university police in pursuit of appropriate and legitimate cost savings and efficiencies, we will always be mindful of incidents such as the tragedies at Virginia Tech University, Northern Illinois University and most recently the University of Alabama Huntsville.

In aggressively seeking savings and in managing costs we will never compromise the health, welfare and safety of our campus communities not for the sake of efficiencies, not for the sake of politics.

1 Lastly, the December 2009 report 2 raises issues relative to the mission, 3 governance and operations and spending of the Research Foundation and its working 4 5 relationship with the State University. Ι do think it's worth noting for the record 6 7 that the Research Foundation was founded in 1951 as a private, not-for-profit and 8 9 distinct corporation, duly organized under federal and state law and which continues 10 to operate pursuant to an agreement 11 12 approved in 1977 by the State Controller --Comptroller, Director of the Division of 13 14 Budget and the Attorney General. 15 With that said, in her short tenure 16 at SUNY, Chancellor Zimpher has received numerous questions and really concerns 17 brought to her attention regarding the 18 19 structure, hiring practices and funding of 20 the Research Foundation. And, so, as she stated at the Joint Fiscal Committee Budget 21 Hearing on January 27, 2010, she will be 22 23 undertaking a full review of the structure 24 and operations of the R.F. We are, in

fact, right now beginning the process of identifying and soliciting experts in the area of collegiate research foundations who will independently manage this review. Ιt will be an open, iterative process and we will certainly invite your input and share the results.

In conclusion, I just want to say that the SUNY family of students, faculty and the residents of our host communities take enormous pride in our teaching mission, the contributions we make to people's lives, and the fact that we are a critical contributor to sustained economic vitality for the State of New York. There are over 2 million SUNY alumni living and working in this state today and millions more waiting for their chance at this remarkable opportunity. We can all agree that we have an obligation to provide access to a high guality education that is affordable to the citizens of the state and to do so in an effective and efficient The Public Higher Education manner.

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1 Empowerment and Innovation Act will provide 2 SUNY with the tools to do an even better 3 job. So, I hope you will find the 4 information that I've provided to you today 5 helpful in your task. I would be very 6 7 pleased to take any questions you might have. And I thank you again for the 8 9 opportunity to provide testimony today. 10 SENATOR KLEIN: I thank you, 11 Chancellor. I thank you very much. I -- I just want to -- like I said, 12 I wanted to break it up for the overtime 13 spending and then, of course, we'll talk 14 15 about the SUNY police force. 16 And -- and I know you did mention about the overtime. But if you can just 17 take us back. I just want to first learn 18 19 what the process is on -- on overtime, and 20 how you distinguish between what I would 21 consider, I guess, maybe I'm not using the 22 right term, but mandatory overtime; like, I 23 guess, making sure that there's always 24 someone to watch the SUNY students as far

1 as, you know, the police force and someone 2 who would have, I guess, you know, a 9 to 5 job; like a -- a clerk or a typist or 3 something in that nature. Is -- is that 4 broken up between what I -- what we 5 consider mandatory versus discretionary? 6 Ι 7 guess, also, what the federal government would consider mandatory overtime --8 9 VICE CHANCELLOR RIMAI: Well, I -- I think the -- the vast majority of the 10 mandatory -- or the overtime that we've 11 12 experienced has really happened at the hospitals. And there the issue has to do 13 with meeting standards that are set by the 14 15 accrediting associations. And they really 16 cut across all categories of employment. 17 So, I -- I don't have those accrediting standards in front of me. We can certainly 18 19 get them to you. But there -- you know, 20 there has to -- there's a -- a lot of 21 complexity around the number of hours that 22 certain professionals are permitted to 23 work. Cleanliness standards, facility 24 standards. And those are the kinds of

1 things that drive the setting of overtime. 2 But I -- I will also tell you that 3 because every institution is particularly 4 different, the demands on facilities, the demands on security, the demands across 5 6 really, frankly, even program array and 7 student support, are unique to every SUNY Central doesn't mandated 8 campus. those kinds of -- of policies at our level. 9 Now, it's certainly something we might 10 11 consider as a -- a consequence of -- of 12 this panel. But at this juncture we are 13 really sensitive to the unique 14 circumstances. 15 And, so, for example, you mentioned 16 the -- the concept of the 9 to 5 clerk. Well, in -- in some of our -- at some of 17 1.8 our campuses those clerks are providing 19 student support. And for nontraditional 20 students, they need that support not from 9 to 5, but from 7 to 10, when they're --21 CHAIRMAN KLEIN: Well, I think --22 VICE CHANCELLOR RIMAI: -- when 23 24 they're taking classes --

1 CHAIRMAN KLEIN: -- that's -- that's 2 what I'm getting at. I mean, you know, I 3 know this, you know, takes place at the managerial level. But last year SUNY spent 4 in the fiscal 08/09 year \$41.6 million in 5 overtime. And I'm just saying, I 6 7 understand that. If a manager is, you 8 know, working with his team and, you know, 9 there's something that's clearly -- that can be done tomorrow, you know, why have 10 someone stay 'til after 5 and derive 11 12 overtime. I'm trying to figure out --VICE CHANCELLOR RIMAI: 13 Sure. Precisely --14 15 CHAIRMAN KLEIN: -- you know, what's 16 being done at the manager level. At --17 and, again, maybe we need somebody who 18 actually is at that level. But I was 19 hoping that you can answer on actually how 20 it works. Because, again, we have seen 21 cases, and -- and I want to do thank you 22 for responding to my report in great detail 23 in your letter, but we have found cases 24 where, you know, clearly they're not

1 doctors, they're not nurses. You know, I 2 understand the federal mandates of them 3 being there, you know, around the clock or 4 someone being there around the clock --5 VICE CHANCELLOR RIMAI: Uh-huh. 6 CHAIRMAN KLEIN: -- but people who, 7 not in any way, you know, criticizing the 8 work they perform for SUNY, people who are 9 not -- it's not essential that they're 10 there --VICE CHANCELLOR RIMAI: 11 Uh-huh. CHAIRMAN KLEIN: -- after hours --12 13 VICE CHANCELLOR RIMAI: Right. CHAIRMAN KLEIN: -- quite frankly. 14 15 VICE CHANCELLOR RIMAI: Well, I -- I 16 think, you know, maybe we disagree on -- on 17 one point, or maybe there are many points, 18 but I -- I mean, I think the notion is when 19 you look at \$41.6 million, at least in my 20 view as a -- as an operations person, I 21 want to look at compared to what. And if 22 you look at it compared to the size of our 23 budget, compared to the percentage of 24 salary and wages, in my view, just from the

1 numbers perspective, although I'd be the 2 first to say you don't want to just look at 3 it from that perspective, it's actually a pretty reasonable number. 4 5 And I -- I also think that, you 6 know, whether something is reasonable, not 7 a function that could be put off 'til 8 tomorrow as opposed to incurring the 9 overtime expense is very much a function of the local environment. The Farmingdale 10 11 example is a very good example. Four full-time staff to deal with their physical 12 13 plant is -- going to necessitate overtime 14 simply because it's the only way to keep 15 up. 16 And, again, there are -- there are 17 national standards; The National 18 Association of College and University 19 Business Officers and an organization 20 called SKUF (phonetic spelling), the 21 abbreviation for what that stands for 22 escapes me at the moment, but all of them 23 have facility standards and ratios of how 24 you maintain those --

CHAIRMAN KLEIN: Well, I guess, 1 Chancellor --2 3 VICE CHANCELLOR RIMAI: -- so --CHAIRMAN KLEIN: -- I quess, let me 4 5 just back up for a minute. Do workers have 6 to get pre-approved from managers before 7 being able to work overtime? VICE CHANCELLOR RIMAI: I would say 8 in general the answer's yes, but I wouldn't 9 want to commit to every single environment. 10 There may be blanket waivers in some 11 12 circumstances. We could certainty get that 13 information for you. But, in general, 14 overtime is approved --CHAIRMAN KLEIN: And it is -- is it 15 16 decided on a campus by campus or by SUNY as a whole? 17 VICE CHANCELLOR RIMAI: I think it's 18 19 decided campus by campus. 20 CHAIRMAN KLEIN: I -- I guess -- you know, I guess the other question, does SUNY 21 22 have any type of internal audits to insure the work is -- that the workers, if they 23 24 claim in overtime, is -- is actually

done --

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VICE CHANCELLOR RIMAI: 2 Yes. CHAIRMAN KLEIN: And I quess I go 3 back to the example that we did raise and 4 5 you did respond to of the SUNY Farmingdale --6 7 VICE CHANCELLOR RIMAI: Uh-huh. CHAIRMAN KLEIN: -- where you had 8 9 three workers. There was one supervisor, 10 two helpers as far as groundskeepers, who made close to \$134 thousand last year, the 11 three of them combined, in overtime. 12 VICE CHANCELLOR RIMAT: 13 Sure. CHAIRMAN KLEIN: I -- I know the 14 15 letter you stated that, you know, you pride 16 yourself on your campuses being kept well. But, again, I mean, isn't there 17 something they could have done, I guess, 18 19 once again after hours? Were they -- were 20 they mowing lawns in the dark? Were they 21 watering the plants? I mean, I'm trying to 22 get a handle on, you know, the manager who 23 approved that. How is that approved --24 VICE CHANCELLOR RIMAI: Uh-huh.

1 CHAIRMAN KLEIN: -- and -- and how 2 that overtime, which I believe, in my opinion, was out of control? 3 VICE CHANCELLOR RIMAI: 4 Yeah. Well, 5 you know what, Senator, I have worked at enough large public government entities to 6 7 be the first to say that with an organization of our size, no doubt an 8 9 effort like this is going to find areas of improvement. And, perhaps, this particular 10 11 area is -- is one such example. 12 And, frankly, a big reason that I 13 was hired was to really take a long, hard look at our operations, to look for 14 efficiencies and economies of scale and 15 16 cost savings, because, frankly, that's just the only way we're going to manage our 17 current environment. So, I'm with you 18 19 there. 20 As to the specific duties that were 21 performed by specific individuals, you 22 know, I don't know that. I'm sure we could 23 find that out. 24 As to your question about the

1 performance of -- of the existence of 2 internal audit functions, absolutely. 3 Every camp -- not every campus, but many 4 campuses have their own internal offices, 5 as does SUNY Central. And the audits that 6 they do are a function of risk assessment, 7 as you would expect in the industry. Perhaps this -- this committee will raise 8 9 the -- the amber of the risk associated 10 with overtime and -- and such an audit will find it's way --11 CHAIRMAN KLEIN: 12 Yeah. Because --13 VICE CHANCELLOR RIMAI: -- on our risk --14 15 CHAIRMAN KLEIN: -- again, I guess, 16 I'm -- I'm trying -- I guess I'm trying to 17 be educated on this because when somebody 18 sees, and I -- I don't want to keep 19 concentrating on these poor groundskeepers, 20 but when someone sees the \$134 thousand for 21 three workers, my initial reaction, quite 22 frankly, was, does someone at SUNY see it? 23 Does the individual campus or manager 24 control it? And, guite frankly, I thought

1 does the State Comptroller, you know, 2. notice, you know, something like that? 3 VICE CHANCELLOR RIMAI: Uh-huh. CHAIRMAN KLEIN: I -- I'm trying --4 5 does -- is there anywhere in any 6 department --7 VICE CHANCELLOR RIMAI: Yeah, I 8 mean --9 CHAIRMAN KLEIN: -- when a red flag qoes off --10 VICE CHANCELLOR RIMAI: 11 -- sure. 12 There's --13 CHAIRMAN KLEIN: -- and say, hey, we 14 have to take a good hard look at this --15 VICE CHANCELLOR RIMAI: -- I mean, I 16 think the biggest driver of managing this overtime issue, as well as a whole host of 17 18 issues, is the budget setting process. Ι 19 mean, every unit on every campus has a 20 budget that they have to work to and to 21 manage their -- their operation. So, I 22 think that's the ultimate safeguard. 23 Again, I -- I understand what you're 24 saying. If you pull this out of context

and you just look at three workers that are 1 2 making this kind of money, it -- it's 3 something we have to look at. But I -- I think my effort here 4 5 in -- in talking to you all today is to 6 provide some more context. 7 CHAIRMAN KLEIN: I quess, my -my -- my -- I guess my last question on 8 9 this topic, has SUNY proposed any overtime reductions, you know, as far as this budget 10 cycle? Because clearly one of things that 11 I think most of us and all of us I would 12 13 hope are concerned about is the cut to TAP, 14 among some of the other cuts, that we posed 15 in the Governor's budget. 16 It's interesting what we're, you know, up in arms about is a \$25 million cut 17 18 to TAP. You know, clearly, you know, looking 19 20 in to this, is there a way that we can 21 reduce overtime as compared to last year to 22 come up with the savings we need so we can 23 restore the money for TAP without raising 24 taxes, without making further cuts, you

know, someplace else in the SUNY system? VICE CHANCELLOR RIMAI: Well, first let me say that the -- originally, before the executive budget came out, there was a proposed tuition increase of about, I believe, 2 percent, that was going to increase our tuition beyond the cap on TAP and that SUNY had committed to covering that difference. So, our sensitivity to TAP is pretty boundless. I get -- I absolutely understand your -- your point here.

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13 Have we proposed cuts to overtime? 14 Here's the dilemma. In looking forward to 15 the -- and I think "looking forward" is 16 really not the right term, but in -- in 17 trying to manage the -- the cuts that are proposed in 10/11, we have looked at 18 19 virtually every expenditure. And since the 20 vast majority of our expenditures are in 21 personnel, the reality is that we are 22 ultimately looking at reductions not just in overtime, but in full time and part 23 time. 24

1 CHAIRMAN KLEIN: Okay. 2 VICE CHANCELLOR RIMAI: So, it's 3 going to be across the board. There's just 4 no other way to manage that. We're deeply 5 concerned about our ability to continue 6 delivery on our mission given the 7 significance of these cuts. 8 CHAIRMAN KLEIN: Okay. I think 9 Senator Johnson had some questions. 10 SENATOR JOHNSON: Thank you, 11 Senator --CHAIRMAN KLEIN: Well, before I 12 13 start --SENATOR JOHNSON: Oh, sure. 14 15 CHAIRMAN KLEIN: -- I want to 16 recognize -- I know Senator Maziarz has 17 joined us. I want to thank you, Senator. SENATOR MAZIARZ: 1.8 Thank you. Thank 19 you. 20 SENATOR JOHNSON: Thank you, Sen --21 Sen -- thank you, Senator Klein. 22 Thank you very much for your 23 testimony and your forthrightness with 24 respect to SUNY's position and

responsiveness to the December 2009 report. 1 2 I thank you, Senator Klein, for that 3 report and -- and your dedication to this particular issue. 4 5 I just have a couple brief questions. How many collective bargaining 6 7 agreements does SUNY have with it -- its union -- unions at the SUNYs or the SUNY 8 9 hospitals? 10 VICE CHANCELLOR RIMAI: You know, I -- I don't have -- I'd be guessing. 11 12 There are quite of number. But, honestly, 13 at this moment, I don't have that -- that 14 number. 15 SENATOR JOHNSON: Okay. Could --16 could you get that --VICE CHANCELLOR RIMAI: 17 Sure. SENATOR JOHNSON: -- us that 18 information? 19 20 VICE CHANCELLOR RIMAI: Absolutely. 21 SENATOR JOHNSON: Can you also get 22 us copies of those collective bargaining 23 agreements? VICE CHANCELLOR RIMAI: 24 Sure.

SENATOR JOHNSON: 1 Great. 2 VICE CHANCELLOR RIMAI: I -- you 3 know, I think our collective bargaining 4 agreements are negotiated by the state. 5 So --SENATOR JOHNSON: 6 Okay. 7 VICE CHANCELLOR RIMAI: -- they're absolutely available. 8 SENATOR JOHNSON: 9 Great --10 VICE CHANCELLOR RIMAI: I just want to be clear. You wanted the collective 11 12 bargaining agreements in the hospitals or across the entire --13 14 SENATOR JOHNSON: Across the board. 15 VICE CHANCELLOR RIMAI: -- system? 16 SENATOR JOHNSON: Across the board. 17 So, the number and then the agreements themselves. Because what I'm trying to get 18 19 an understanding is, in -- in your 20 statement with respect to overtime spending 21 you -- you try to parse out that you're not 22 a typical 9 to 5 operation. And what I want to see, and maybe I'll be able to 23 24 answer this, is -- is -- shouldn't the

labor contracts that are negotiated by the state with respect to these bargaining aides reflect the type of operation?

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And maybe the concern that we're seeing here is, and I think that requires the members of the committee and our councils to look at is, maybe we're having a problem where the state is in negotiating contracts that are better suited in a 9 to 5 operation and not necessarily an operation like SUNY's.

SENATOR JOHNSON: So, where you see overtime being paid, you know, for -say -- and I don't want to pick on the gardening, but we'll go to hospitals now or something else --

VICE CHANCELLOR RIMAI:

Uh-huh.

CHAIRMAN KLEIN: There was also a locksmith that made --

SENATOR JOHNSON: I don't want to pick on the locksmith, also. But my point is that I -- I do see the contrast because --

VICE CHANCELLOR RIMAI: Sure.

1 SENATOR JOHNSON: -- so, if you can 2 get that information --3 VICE CHANCELLOR RIMAI: Absolutely. SENATOR JOHNSON: -- that will be 4 5 great. 6 I always want to turn your attention 7 a little bit to the recent news involving 8 Bing -- Binghamton and these -- the events 9 that took place with respect to the 10 Division 1 Sports program. VICE CHANCELLOR RIMAI: Uh-huh. 11 12 SENATOR JOHNSON: My understanding, 13 there's been some articles written, about 14 the selection of Judith Kaye --15 VICE CHANCELLOR RIMAI: Uh-huh 16 SENATOR JOHNSON: -- former Chief 17 Judge of the Court of Appeals, now, I 18 believe, counsel to Skadden, Arps, and her 19 900 -- the 919 thousand -- roughly \$919 20 thousand fee that's been charged. Correct me if I'm wrong, but does 21 22 SUNY pay that fee or does the Research 23 Foundation pay the fee? VICE CHANCELLOR RIMAI: The fee was 24

split between Binghamton and the Research 1 Foundation. But the dollars came from what 2 we call research overhead, which is the 3 percentage that we earn off of -- each 4 institution earns off of the research 5 6 contracts that -- that they do. And 7 those -- that percentage, that overhead, is actually paid by the sponsor of the 8 9 research. And those dollars are maintained 10 or housed, if you will, in the Research 11 Foundation. So, there is a -- a SUNY 12 Central account. We get some percentage of that overhead, as well as Binghamton having 13 14 an account where they keep their -- the overhead dollars that they earn. And those 15 were the sources for the -- for what we're 16 17 calling the Kaye Report. SENATOR JOHNSON: And, so, no tax 18 dollars were spent with respect to the Kaye 19 20 Report? 21 VICE CHANCELLOR RIMAI: That's 22 correct. SENATOR JOHNSON: Okay. But one of 23 the criticism that's been leveled in this 24

situation is because there was a Research Foundation -- the SUNY Research Foundation entered into the agreement with the -- the law firm, there was no bidding requirements necessary.

CHAIRMAN KLEIN: Uh-huh.

SENATOR JOHNSON: Do you have any knowledge as to why no effort was taken to maybe find -- and I have great amount of respect for the Chief Judge and her -- her law firm, and it's a fine institution. But any understanding as to why we didn't go through a collective -- a -- a process to find maybe a cheaper result, even though no taxpayer dollars were spent --VICE CHANCELLOR RIMAI: Uh-huh. SENATOR JOHNSON: -- but still finding maybe a cheaper alternative? VICE CHANCELLOR RIMAI: Yeah. Τ --I think that's a spot on question, one that I've asked myself. My understanding was that -- first of all, there was a tremendous amount of urgency. And secondly, the most -- the -- the most

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significant concern for our Board of Trustees was that this report -- this investigation be absolutely independent, not controlled by anyone at Binghamton or, frankly, at Central Administration in the event that there was some responsibility in any of the -- in any of these areas. 50

And I think the first decision was really that Judge Kaye, as a -- as a -- a former Court of Appeal (sic) judge who was available and interested and -- and had some knowledge and experience with the State University, that the integrity that she would bring to the process, and frankly, the ability to work independently, that -- that the results would be worth the costs.

I will say that, perhaps, on further reflection, there -- especially in light of -- of recently events around -- around the budget process, because, quite frankly, although these are R.F. dollars, it is -it is part of our total all funds budget. And -- and one that I'm certainly concerned

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And I think we have to count all of 1 about. 2 our pennies and nickles and -- and understand that resources used for this --3 for this particular situation are then not 4 5 available for some other opportunity. 6 So, I think on further reflection, when it came time to finding counsel who 7 could advise us then on implementation of 8 the report, particularly relative to 9 N.C.A.A. compliance, we made use of an 10 existing state contract with an outside 11 12 consultant that is an expert in -- in 13 N.C.A.A. compliance, that will be 14 significantly less expensive --SENATOR JOHNSON: Because -- because 15 16 my concern in reading the news accounts, 17 again, no criticism of -- of -- of Judge 18 Kaye, but to employ 7 lawyers for this report. A lot -- a lot --19 VICE CHANCELLOR RIMAI: 20 Yeah. 21 SENATOR JOHNSON: -- that's a lot. I would 22 VICE CHANCELLOR RIMAI: 23 agree. SENATOR JOHNSON: And I -- and I --24

1 and I would hope that somebody would take a 2 look at this bill and -- and look at it 3 because it should be expected in this day and age, you know, they're -- law firms in 4 5 Manhattan these days are looking for business. There's no doubt about the 6 7 economic downturn with represent to them as 8 well. And putting 7 lawyers on it, for me, I'll a little concerned about that. 9 VICE CHANCELLOR RIMAI: 10 Uh-huh. 11 SENATOR JOHNSON: Final question and final point is, looking at the relationship 12 13 of -- in your testimony on the Research 14 Foundation, there is this -- you -- you 15 talk about this -- you're going to be under -- undertake a full review of the 16 17 structure and operations of the Research 18 Foundation. I just want to read a sentence. (Reading) "We are in the process 19 20 of soliciting experts from outside New York 21 State to launch this review." Why are you 22 going outside of New York State? 23 VICE CHANCELLOR RIMAI: Well, I I'm not --24

SENATOR JOHNSON: Don't we have experts here?

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VICE CHANCELLOR RIMAI: -- I'm not saying it would be -- this panel would be exclusively outside. But one of the things we're looking for is folks who have experience dealing with other collegiate Research Foundations.

This is not an unusual model. The question is whether we're running this model effectively and transparently and in a manner that is satisfactory. And, so, at least some of these folks ought to have experience with other similar -- similarly situated Research Foundations.

SENATOR JOHNSON: Okay. And who's going to pay for that -- who's going to pay for -- of how's this going to be paid for? VICE CHANCELLOR RIMAI: Well, we -frankly, I don't know at this point. I think we were trying to decide whether or not we could find folks and what that cost might be just to get a general sense of how we would manage this.

being 64 campuses at SUNY and 87 thousand	24
your testimony, you talked about they're	23
But what I started to think about in	22
compensation.	21
distribution of overtime, not the rate of	20
Johnson can only address the issue of	19
would provide at the request of Senator	18
collective bargaining agreements that you	17
the (inaudible) Sanders Act. So, any	16
compensation for overtime is determined by	15
probably know, overtime the rate of	14
issue of overtime just for clarity. As you	13
And I'm going to go back to the	12
testimony.	11
Thank you, Chancellor, for your	10
Klein.	9
SENATOR SAVINO: Thank you, Senator	ω
Savino had some questions.	Γ
CHAIRMAN KLEIN: I think Senator	თ
VICE CHANCELLOR RIMAI: Thank you.	ហ
CHAIRMAN KLEIN: Thank you.	42
Thank you.	ω
Mr. Chairman, thank you very much.	Ν
SENATOR JOHNSON: Okay.	Ц

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employees. And I got the sense from your 1 2 testimony that each campus is a separate entity within SUNY Central. They each get 3 they're own operating budget and they each 4 5 develop their own policies and manuals and they each manage their own staff, is that 6 7 correct? VICE CHANCELLOR RIMAI: Our -- T 8 think I would say there's a variation on 9 theme. 10 And, first of all, I must pause and 11 say, while I appreciate the promotion, the 12 13 number of you referred to me as 14 "Chancellor" --SENATOR SAVINO: Well, you're 15 16 here --VICE CHANCELLOR RIMAI: 17 -- and I 18 think Chancellor Zimpher might be a little upset with that. 19 SENATOR SAVINO: "Vice Chancellor" 20 21 is very -- very wordy --22 VICE CHANCELLOR RIMAI: Thank you. 23 Depending on the sector --SENATOR SAVINO: Uh-huh. 24

VICE CHANCELLOR RIMAI: -- that the 1 2 university is in really is a function of 3 how independent their operating budget is. So, for example, our community 4 5 colleges have relatively independent 6 operating budgets that are -- so, their 7 collective bargaining agreements, a very good example, those -- those are 8 9 independently negotiated by the individual --10 SENATOR SAVINO: Uh-huh. 11 12 VICE CHANCELLOR RIMAI: -- community 13 college. 14 The state operating institutions 15 function far more interdependently. Uh-huh. 16 SENATOR SAVINO: 17 VICE CHANCELLOR RIMAI: So, the process of distributing the resources that 18 19 underline the operating budgets are really managed at the central level. But we don't 20 really get in to the micromanagement of the 21 detail of every fund at every campus. 22 SENATOR SAVINO: Uh-huh. 23 VICE CHANCELLOR RIMAI: Frankly, 24

that would just overwhelm the system. So, there is an appropriate -- a distribution model.

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I will tell you that we have a budget task force that is, hopefully, once we get on the other side of our budget process this year, will begin to review that allocation process. But it -- it sort of happens in two directions up from the campuses. We don't zero base budget every year to year. We start with where we end, sort of -- it's -- it's -- the data percolates up and then it also then percolates down.

SENATOR SAVINO: Uh-huh. But -- and you said that one of the reasons that's driving a lot of your overtime, and I'll focus mostly on the -- not the ordered involuntary overtime because that's a function of running an operation where you have 24/7, particularly in the hospitals, but the voluntary overtime is the attrition of staff.

So, some of the titles that we're --

1 that you, obviously, have in the SUNY 2 operation are SUNY's -- they're -- they're 3 SUNY wide titles, where a groundskeeper's a 4 groundskeeper regardless of where they're 5 assigned. But if you're a groundskeeper at Farmingdale, is there a possibility that if 6 7 you lose 4 groundskeepers at Farmingdale, but the nearest campus, which would be, I 8 9 don't know, Stony Brook, has -- has not 10 lost any groundskeepers, is there a 11 possibility of redeployment of staff or the 12 opportunity to transfer staff if they 13 volunteer to, to then move to pick up some 14 of the slack --15 VICE CHANCELLOR RIMAI: Sure. 16 SENATOR SAVINO: -- and take the 17 burden off the overtime budget at SUNY 18 Farmingdale? 19 VICE CHANCELLOR RIMAI: Sure. 20 Absolutely. Those opportunities do exist. 21 SENATOR SAVINO: And does that 22 happen regularly? 23 VICE CHANCELLOR RIMAI: Regularly, I 24 don't know. Has it happened from time to

I believe so. But I -- I haven't 1 time? 2 had enough time here to know how often that 3 does happen. SENATOR SAVINO: Yeah. Because 4 5 vou --VICE CHANCELLOR RIMAI: But I -- I 6 7 think -- you know, there is an organization of business officers among the state 8 operated -- actually, the community 9 colleges have that, as well. They meet 10 regularly. They talk about these issues. 11 They work cooperatively around this and 12 13 many other kinds of -- of issues to look 14 for those economies of scale. But I -- I could not tell you the 15 16 frequency with which that happens. 17 SENATOR SAVINO: Yes. Because that 18 would be interesting, if there's a 19 possibility for --VICE CHANCELLOR RIMAI: Yeah. 20 21 SENATOR SAVINO: -- some colleges, 22 obviously -- some campuses are going to lose staff --23 24 VICE CHANCELLOR RIMAI: Sure.

1 SENATOR SAVINO: -- at different 2 rates and you may have some available here 3 that might be given the -- given the 4 opportunity to transfer --VICE CHANCELLOR RIMAI: 5 Right. 6 SENATOR SAVINO: -- would be willing 7 to go, which would then mitigate some of the demand for --8 VICE CHANCELLOR RIMAI: I -- I 9 10 should tell you --SENATOR SAVINO: -- voluntary 11 overtime. 12 VICE CHANCELLOR RIMAI: -- on -- on 13 a -- sort of on a similar note. One of the 14 things that I'm looking at very closely is 15 the opportunities to look at SUNY Central 16 and the functions that we perform and 17 whether or not it would be more cost 18 effective to enter into some memorandums of 19 20 understanding with the University at 21 Albany. 22 SENATOR SAVINO: Uh-huh. 23 VICE CHANCELLOR RIMAI: So, that 24 there -- there may be some opportunities