for us to, frankly, eliminate an operational function all together, to see if we can't have Albany provide that.

SENATOR SAVINO: Right. And with respect to the backing out your physical and plant staff because of the demands there and some of the requirements that you talked about in the backing out nurses and doctors and people who work in the hospital system. As Senator Klein said, you focused on the -- the clerk. Is there a -- are there any opportunities for workers to develop ultimate work schedules, including things like flextime, which would allow you to expand the workday, essentially --

VICE CHANCELLOR RIMAI: Uh-huh.

SENATOR SAVINO: -- and then maybe accommodate some of the student needs so you don't necessarily get locked into that 9 to 5 --

VICE CHANCELLOR RIMAI: Right.

SENATOR SAVINO: -- and that would also help to mitigate some of the discretionary overtime.

VICE CHANCELLOR RIMAI: Right.

Actually, alternate work times that are within a traditional, you know, 40 hour a week period --

SENATOR SAVINO: Uh-huh.

VICE CHANCELLOR RIMAI: -- are -are fairly common, particularly in student
affairs, where there is an extended work
from a student -- a workweek from a student
perspective. And that might include even
Saturdays and -- and Sundays and certainly
evening hours.

The one thing, though, I -- I think
I want to be real clear on is the vast
majority of our overtime is in the area of
physical plant services and not physicians.
Physicians are -- are professional staff
that are really not subject to or -- or
have overtime available to them in that
traditional sense. But more nursing staff,
allied health professionals, maintenance
workers, that's really where the vast
majority of our overtime dollars are spent,
is -- is in those categories.

SENATOR SAVINO: And --

VICE CHANCELLOR RIMAI: I'm not going to say that's absolutely the case with regard to every dollar, but the majority of those dollars -- and we could get that breakdown for you. I know we provided it before, but we'd be more than happy --

SENATOR SAVINO: Uh-huh.

VICE CHANCELLOR RIMAI: -- to -- to do that again.

SENATOR SAVINO: And, finally, on the -- on the piece on the SUNY police, because I noticed in your testimony you said that SUNY -- the SUNY system is unique and that it can't be compared to either the State Police or the Environmental Conversation Police or the Forest -- Forest Rangers or Park Police. And I'm kind of puzzled as to why. Because when you look at the differences between the way SUNY's police are managed and the way the State Police is; for instance, the SUNY, according to the State Comptroller, the

State University Policing model uses 65
management confidential positions at a cost
of 6.2 million. And then you compare that
to the combined 3 police agencies; Division
of State Police, Environmental Conservation
and Parks, they only utilize 24 management
confidential positions that cost 3.8
million. Collectively those agencies
supervise 45 hundred more police than the
SUNY police do.

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So, I'm -- I'm puzzled as to why you don't think that we could have a central police operation at SUNY, adopting the same model that the State Police use or the Environment Conservation Police or Forest Rangers.

VICE CHANCELLOR RIMAI: Uh-huh.

Well, respectfully, Senator, I didn't say that we couldn't have it, I said we were looking into it. Were looking to -- into operational efficiencies across all of our distinct operations and are very -- paying very close attention to what the pros and cons are and the real opportunities are

with centralization.

So, we're not ignoring it, we're not dismissing it out of hand. It's something that requires some careful -- careful consideration.

But I will say I do believe we are unique. In that, in -- and it's largely due to our population, which -- which is, in -- in our term, a vulnerable population.

We have lots and lots of constituents that are -- are young folks who are beginning to deal with independence for the first time and the stresses of pursuing a higher education in this environment and it creates all kinds of unique law enforcement issues that are, frankly, somewhere between law enforcement and social service support.

And that -- and it's not a model across all institutions. Some of those vulnerable populations, frankly, are nontraditional students who are balancing jobs and families and pursuing their education. And that creates a whole

1 different kind of stress.

And this isn't just me saying this.

If you look at a lot of the reports that
were done following the Virginia Tech
tragedy, it was very clear that campus
policing is a different sort of animal than

policing in different environments.

I'm not saying that there aren't lessons to be learned from different environments, I totally embrace that. I'm familiar with some of those environments. I'm just saying that we have to be very carefully. And that we are going to put health, safety and welfare at the fore -- as I'm -- I'm sure you would totally embrace as well.

SENATOR SAVINO: I -- I wouldn't disagree with you on that. I just still -- I'm not convinced that we can't do that with a single supervising police unit and eliminating some of the redundancies in -- in having multiple units.

VICE CHANCELLOR RIMAI: Uh-huh. And I'm -- I'm open to that as well.

SENATOR SAVINO: Thank you.

CHAIRMAN KLEIN: Senator Aubertine.

SENATOR AUBERTINE: Thank you, Mr.

Chairman.

And thank you for your testimony, Madam Vice Chair -- Vice Chancellor.

You -- you touched on briefly the -the concept of an enterprise concept early
on. Could you -- could you help me better
understand in a specific way how we could
perhaps save revenue in the SUNY system?

VICE CHANCELLOR RIMAI: Well, I
think it has to do with more effective risk
management to -- to look at a very close
assessment across the organization of the
kinds of risks. And I think in the -- in
the terminology, we're really looking at
insurable risks. And to look at best
practices from a -- from an organizational
perspective so that we're not either
reinventing the wheel, that we are sharing
those best practices and how to avoid or
reduce risks as a -- as opposed to sort of
doing it on a one off basis.

So, there's a -- there's a whole -
I mean, for -- for people who are into this

kind of thing; like, operational people,

there -- there's a whole bunch of

literature on enterprise risk management

that looks at the entire organization and

tries to align it around some basic

principles of -- of how you would deal

judge risk.

SENATOR AUBERTINE: In -- in -- my assumption would be that the basic principles would in all likelihood very from campus to campus?

VICE CHANCELLOR RIMAI: The -- I
think the principles actually are
consistent from campus to campus. The
circumstances may vary. The -- the measure
of risk may vary, but the process for
managing that risk, actually, there's some
opportunity for some great economies of
scale.

And the assessment process, you know, it's a -- it's a matrix kind of approach. It sort of combines insurance

experience with auditing experience. It looks at risk not just from the -- the traditional sort of vehicle risk or a facility kind of risk, but actually looks at things like financial risk; you know, those kinds of things, and tries to generate some really good generalizable, if you will, best practices.

SENATOR AUBERTINE: In -- at least in theory, implementing some of those best practices certainly has the potential for savings overall in the SUNY system?

VICE CHANCELLOR RIMAI: I think so.

That's been my experience in other

environments. But I'm pretty new to New

York, so --

SENATOR AUBERTINE: Okay.

VICE CHANCELLOR RIMAI: -- I have to keep an open mind.

SENATOR AUBERTINE: The -- the other question that I have is, specifically, to the extent you can, are there areas in -- in the SUNY system, that if SUNY were given the latitude to raise dollars or save

dollars through consolidation or -- or
public -- public, private collaboration, do
you -- do you see opportunities that may
exist there?

VICE CHANCELLOR RIMAI: Having come from an institution, all be it not a system where we have this flexibility, I pretty much see opportunity around every corner.

I think that through public, private partnerships we can run virtually every organization, the most traditional being our -- our axillary functions; that is residence halls and -- and rec. centers and food service, in a -- in a far more efficient manner by partnering with organizations who do this for a living.

That's not to say that we are interested in avoiding things like collective bargaining and prevailing wage and all of that. But really by partnering with folks who do this for a living we can enhance the efficiency with which we deliver these services.

I also think that public, private

partnerships, land lease, these kinds of joint ventures, allow us to leverage land that is within a particular campus control to generate new revenues. And then, frankly, to use those land leases to build operations that we might not otherwise be able to afford, that would in turn employ new -- create new jobs and create sort of a net increase in -- in employability in the areas around the campus.

So, I -- I really think that there's opportunity around every corner if we had some more flexibility about how we manage our assets.

SENATOR AUBERTINE: Is -- is there,
to -- to the best of your knowledge, any -any specific activities, studies going on
at this point in time that would help -help this committee at this point in time
over the next, you know, short while to
save dollars in -- in this year's budget
and going forward?

VICE CHANCELLOR RIMAI: Well, I -- I might -- I think I'm a little bit unclear.

I -- I mean, I -- I have a -- a list that we would be happy to get to the committee of opportunities, if you will, that are in the cue; that are -- are waiting for a greater flexibility and -- and more control over our assets.

And, actually, I have it right here (indicating). And -- and we can -- we can get you that list.

In terms of operational efficiencies, as I noted, the -- the Chancellor has directed me to really start looking at virtually every one of our operations; both at SUNY Central and at -- across our campuses.

opportunities also represent impact on personnel. I'm a bit loathed to get into the specifics at this point without having a -- a better sense of what those impacts will be because there are human beings associated with those positions. And until we're ready to really implement, I would hate to create panic among good -- good

folks who are well meaning and who are hard working.

So, I -- I think there is an opportunity through shared services, through elimination of services for us to -- to -- to enhance our operational efficiency. There's no doubt about that.

SENATOR AUBERTINE: Well, to the -to the extent that -- that you can, that
SUNY can, sharing that information with
this committee and -- and I think keeping
this committee apprised of activities that
are taking place, that would be helpful;
that certainly would be helpful.

VICE CHANCELLOR RIMAI: Sure. I'd be pleased to do that.

And generally speaking, so you know the categories in terms of those efficiencies we'd be looking at, parking services, the delivery of -- of security services, some of our other financial operations, whether or not we can sort of assign those to a particular campus to -- to perform for all of our campuses. Those

are the categories that we're trying to --1 2 looking at with a pretty close eye. SENATOR AUBERTINE: Okay. Well, 3 thank you for your testimony. 4 VICE CHANCELLOR RIMAI: 5 Sure. SENATOR AUBERTINE: Thank you, Mr. 6 7 Chairman. CHAIRMAN KLEIN: Thank you. 8 Anyone else have any questions on 9 10 the overtime? No? 11 I -- I just want to follow up on just a couple more questions on the 12 13 overtime. And I quess one is a request. 14 I -- I know we did send you a copy of the report. You did respond. If it's okay, 15 16 I'd like if you can sort of respond to some more of the specific cases. Not right 17 now --18 VICE CHANCELLOR RIMAI: 19 CHAIRMAN KLEIN: -- because I'm sure 20 21 you won't have that. But in a -- I guess in a form of a letter. Because what we 22 did, we -- we put together 100 of 23

individuals who made more than 50 percent

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of their total salary in overtime.

And -- and you're correct. Many of them -- most of them were not doctors or nurses, but they were individuals like the locksmith I mentioned before that made \$98 thousand, \$52 thousand in overtime.

We had a roofer and tinsmith at SUNY Buffalo that made 96 thousand, 51 thousand in overtime.

A teacher and research assistant that make 140 thousand, 75 in overtime.

Just individuals like that, if it's okay --

Sure.

VICE CHANCELLOR RIMAI:

CHAIRMAN KLEIN: -- I'd like to send that to a letter. And if we could get some specifics. Because I'm still -- would like to know about, you know, the process in place --

VICE CHANCELLOR RIMAI: Uh-huh.

CHAIRMAN KLEIN: -- you know, and -- and why, you know, there isn't a red flag at a managerial level or at SUNY Central when something like that occurs.

The next question is, one -- in your

1 letter you mention, in one of the cases, 2 and I think it was SUNY Farmingdale, maybe 3 it was one of the other campuses, that you 4 mentioned there was a U.S. golf association 5 event. 6 VICE CHANCELLOR RIMAI: Yes. 7 CHAIRMAN KLEIN: And that was the 8 reason for the overtime. 9 VICE CHANCELLOR RIMAI: Yes. 10 CHAIRMAN KLEIN: Would they be 11 reimbursed for overtime for an independent 12 event that had nothing to do with the SUNY 13 system? 14 VICE CHANCELLOR RIMAI: I would 1.5 think so, but I -- I'd have to double check 16 that. 17 CHAIRMAN KLEIN: Okay. Because that -- that's something. Because it seems 18 19 there was a lot of overtime --20 VICE CHANCELLOR RIMAI: Right. 21 CHAIRMAN KLEIN: -- expenditures --22 VICE CHANCELLOR RIMAI: Right. 23 CHAIRMAN KLEIN: -- incurred from 24 that event --

1 VICE CHANCELLOR RIMAI: I mean, that 2 to me sounds like that would make some sort 3 of recharge kind of thing --4 CHAIRMAN KLEIN: Correct. 5 VICE CHANCELLOR RIMAI: -- but I 6 would definitely want to look into that --7 CHAIRMAN KLEIN: That's seems --8 that's an independent event independent --9 VICE CHANCELLOR RIMAI: Uh-huh. 10 CHAIRMAN KLEIN: -- of SUNY. I -- I'd like information on that as well. 11 I -- I thank you. I just want to 12 13 kind of, I guess, get in on it. I went --14 my colleague, Senator Foley, started, I 15 guess, on the -- the SUNY police force and 16 the recommendation that we made in the 17 report on consolidating --18 VICE CHANCELLOR RIMAI: Uh-huh. 19 CHAIRMAN KLEIN: -- the SUNY police 20 force instead of having individual police 21 forces at every campus, with separate 22 uniforms and insignia --23 VICE CHANCELLOR RIMAI: Uh-huh. 24 CHAIRMAN KLEIN: -- and supervisors

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So, Brian, do you want to start that?

SENATOR FOLEY: Thank you. And I want to thank you, Vice Chancellor, for appearing before the committee today.

And I'd like to thank Senator Klein for organizing this committee. Certainly this is part and parcel of our -- our responsibilities. And it's my understanding that a few, if there's been any times in the past, where a similar kind of committee was formed. We appreciate the sensitive that you have as far as the characterization of -- of some of the areas that we're looking into. But please take back to the Chancellor and to others within your administration that this committee, in a bipartisan fashion, is not so much -- is trying to be both efficient, as well as look at the effectiveness of different agencies and how they are, let's say, following through on the mission.

VICE CHANCELLOR RIMAI: Uh-huh.

SENATOR FOLEY: So, it's not so much a -- a -- a point of trying to be, let's say, bureaucratically defensive, it's more a fact that in our responsibility as oversight in personnel we're trying to ask some tough questions. And in so doing, give the agency the -- the opportunity to answer. And -- but at the end of the process have a set of recommendations that will, in fact, help the agency with its mission.

And I think at the end of this process that's we'll -- where we will end up.

I had some questions on Research
Foundation, but as far as the police is
concerned, and we're going to hear from
some others who will give testimony -testimony in this regard, but I could
explore, if you can answer today, if not,
then some follow up, as to how -- how the
University police in each campus, what -what -- how do they interact with the local
police departments? Are -- are they

written, let's say, rules of -- of interaction or -- or manual, if you will, between -- within the campus, but also between the campus and local police jurisdiction?

On Long Island, for the most part, is between two county police departments -- VICE CHANCELLOR RIMAI: Uh-huh.

SENATOR FOLEY: -- Nassau and Suffix County. How it works in other parts of the state, others would have to raise that question. But I would like to -- just -- just to know what would -- what is the, let's say, rules of -- of interaction between those two entities, and how a unified police department, if you will, the -- of -- of University system, how would that, in your regards, would that be more effective or less effective in police in the campus grounds of the 64 campuses?

VICE CHANCELLOR RIMAI: Well, one thing I know for sure is that when it comes to policing, wherever you are, everything is written down. There a lots of standard

operating procedures. And generally speaking, the way relationships between campus police and other local authorities are managed are with contracts, essentially, that are generally referred to as Mutual Aid Agreements. And, so, there's a real understanding. It -- and it often is a function of the particular other local police agency that has jurisdiction. It is county? Is it city? And what will happen is, leadership from each organization sit down, they really walk through where one jurisdiction ends and the other starts and, frankly, where there is cross jurisdiction.

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The reality is that for many of our institutions that have relatively small police departments, there has to be a great deal of mutual cooperation.

And when -- when there is an emergency it is all hands on deck. And we've had examples of that.

SENATOR FOLEY: Uh-huh.

VICE CHANCELLOR RIMAI: So, I
would -- I would absolutely concur with --

with your suggestion that there are written agreements and -- and those are absolutely available. And we'd be pleased to provide that to -- to the committee, if you're -- if you're interested.

I -- I think the -- the issue of how centralization may work, to be honest with you, I -- I think we have to spend some time, I certainly need to spend some time with our -- with our folks who are at the individual campuses exploring that together --

SENATOR FOLEY: Uh-huh.

VICE CHANCELLOR RIMAI: -- in a cooperative fashion through some sort of task force that looks at this issue in a very cooperative sense so that whatever decisions we make around efficiencies really do make sense for the -- for the -- the local environment.

I think there are certainly -- there are opportunities for -- for better savings and efficiencies through centralizing some functions.

Whether we ended up centralizing the command structure, again, I'm -- I'm keeping an open mind, but I really want to be sensitive to what the literature and what the study say about how that best serves our -- our students.

And -- and I have to be honest with you, I come to this issue with a distinct -- the -- distinctly local perspective, because I spent the majority of my career in higher education on a campus; on urban campuses, that had all kinds of challenges around our volnerable population. And I really wanted to have that connection, quite honestly, with our -- with our chief of police so that I knew in the middle of the night there was somebody there who had command experience, who could deal with the -- the unbelievable variety of emergencies that -- that we had to deal with.

SENATOR FOLEY: Uh-huh.

VICE CHANCELLOR RIMAI: But it was a -- it was an urban setting. It was,

frankly, a high crime environment. And, so, that's not always going to be the case.

I could imagine, for example, that we could consolidate. So, maybe, perhaps, on a regional basis.

There might be some opportunities for, like, campuses to look for those efficiencies.

But I -- I would really want to pay special attention to those campuses that have remarkably different environments and to always make sure that in the middle of night we had the right command structure in place.

SENATOR FOLEY: Right. And just as one follow-up, I -- I think it was a final point, but one well taken, that is as much about social work as it is about law enforcement on our university and college campuses. I think that was a point that you were making as well --

VICE CHANCELLOR RIMAI: Right.

SENATOR FOLEY: -- in which it makes it slightly different from the outside

1 world of -- of law enforcement. 2 VICE CHANCELLOR RIMAI: Absolutely. 3 SENATOR FOLEY: It's a combination of the two. 4 5 Okay. Thank you. 6 VICE CHANCELLOR RIMAI: Thank you. 7 SENATOR FOLEY: Thank you, Mr. Chairman. 8 9 CHAIRMAN KLEIN: I -- I guess that's 10 a good lead into the question I have is, 11 what's the standard policy, I guess, for hiring department chiefs, chiefs and deputy 12 13 chiefs at the SUNY system? 14 VICE CHANCELLOR RIMAI: 15 currently, I -- I believe that -- that you 16 are -- you've got that data. That -- that 17 every department has a chief. I don't know that every department, 18 19 but I do believe the majority of every department has what I would call a Number 20 21 2; whether it's called a deputy or --22 CHAIRMAN KLEIN: Most of them 23 have --VICE CHANCELLOR RIMAI: 24

1 lieutenant, but --CHAIRMAN KLEIN: -- actual chiefs --2 3 VICE CHANCELLOR RIMAI: Yeah. 4 CHAIRMAN KLEIN: -- chiefs of police 5 and then deputy chief --VICE CHANCELLOR RIMAI: Yeah. 6 7 that --CHAIRMAN KLEIN: -- of police --8 VICE CHANCELLOR RIMAI: -- is the 9 current practice --10 11 CHAIRMAN KLEIN: -- and then some cases assistant chief of police. 12 13 VICE CHANCELLOR RIMAI: Well, one --14 you know, you've got to look at those 15 titles carefully because I -- I know in 16 some environments the assistant chief is 17 actually performing a variety of 18 administrative functions; managing the 19 budget, managing facilities. And that is 20 one thing I -- I --21 CHAIRMAN KLEIN: It's just the 22 chiefs seem to get paid, you know, a lot 23 more. Some as high as 145 thousand and the

assistants, you know, usually about 90 --

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VICE CHANCELLOR RIMAI: Uh-huh. 1 2 CHAIRMAN KLEIN: -- 85 thousand. 3 VICE CHANCELLOR RIMAI: Well, I -- I 4 think that data is largely correct. 5 CHAIRMAN KLEIN: I -- I quess to 6 answer the question, is there any 7 background requirements that they actually have a career in law enforcement? 8 VICE CHANCELLOR RIMAI: Yes. 9 10 think for the majority of our departments that are -- you know, they're sworn 11 12 officers, so they have to have all the credentials --13 CHAIRMAN KLEIN: So, in other 14 15 words --16 VICE CHANCELLOR RIMAI: -- of the 17 licence --CHAIRMAN KLEIN: -- if -- if 18 19 they're at the supervisory level; either a 20 chief, a deputy chief or an assistant 21 chief, they have to have experience in law enforcement? 22 23 VICE CHANCELLOR RIMAI: Absolutely. 24 CHAIRMAN KLEIN: Okay. I just had

1 one more question. And, I guess -- does 2 anyone have any questions on the actual police -- SUNY Police? 3 4 SENATOR MAZIARZ: Thank you, Mr. Chairman. I -- I appreciate that. 5 Vice Chancellor, how many -- just --6 7 I don't know if you're aware of this or not 8 or maybe you could just -- make you back 9 out -- any of them currently retired law enforcement officers; either chiefs or some 10 other element of law enforcement, and they 11 12 come back to work? 13 VICE CHANCELLOR RIMAI: T think 1.4 there are a significant number that have a 15 previous background in other -- some --16 SENATOR MAZIARZ: So --17 VICE CHANCELLOR RIMAI: -- other law enforcement field --18 19 SENATOR MAZIARZ: -- so it's 20 possibly that some of them may be 21 collecting their pensions and this salary 22 level that --23 VICE CHANCELLOR RIMAI: Well, 24 we're -- I know we're --

SENATOR MAZIARZ: -- Senator Klein 1 2 mentioned. 3 VICE CHANCELLOR RIMAI: -- very sensitive to, and I -- I don't know if it's 4 5 "regulation" or a "statute", but it's the -- as I recall, the 211/212 issue. 6 And 7 in those particular instances where you 8 have someone retiring; a law enforcement 9 officer retiring from some other state 10 service and then taking another position, 11 my understanding is that there is a waiver 12 in place; that that matter has been 13 addressed pursuant to the regulations. SENATOR MAZIARZ: 14 Thank you. 15 Thank you, Mr. Chairman. 16 CHAIRMAN KLEIN: Does anyone have 17 any other questions on the SUNY police? 18 I -- I just want to move just --19 I -- I guess this is the last area as far 20 as the line of questions. I just want to get back to the 21 22 hospital --VICE CHANCELLOR RIMAI: 23 Uh-huh. 24 CHAIRMAN KLEIN: -- or medical

school personnel. I guess -- I wanted to see -- because we saw a lot of, you know, very, very, I guess, large salaries. And, I guess, the question is, in a general way, I guess someone working for SUNY, how can they make, you know, more than a half a million dollars a year? And some of them are -- aren't actually medical doctors, they're actually teachers or research people.

VICE CHANCELLOR RIMAI: Uh-huh.

CHAIRMAN KLEIN: And, I guess, my question, is this -- and, I guess, a leading question, but, I guess, is -- is --

VICE CHANCELLOR RIMAI: That's all right. I'm used to that.

CHAIRMAN KLEIN: -- is it because that you're trying, I guess, be competitive in some way with the private sector?

Because some of these salaries, actually, in some cases, probably would surpass what a doctor would make, you know, in a not-for-profit or private institution.

And, I guess, how is the pay scale

1 determined --

VICE CHANCELLOR RIMAI: Uh-huh.

CHAIRMAN KLEIN: -- for someone who actually, I guess, is someone working at SUNY Downstate and, let's say, doing heart surgery and teaching, how is their salary determined or broken down?

VICE CHANCELLOR RIMAI: Sure. Well,

I -- I appreciate, actually, your leading question because I -- I think it is absolutely true that our industry is incredibly competitive. There's no doubt about that. And, frankly, we are -- we are competing with institutions of higher education not just around salaries, but, frankly, facilities and opportunities around research. And -- and this is just -- this is across all lines. It's not exclusive to our -- our physician educators.

We have a pretty in depth process for negotiating salaries. First of all, they are all negotiated. With the vast majority of our employees we do have a

play -- a pay plan. There is an executive pay plan, which has standards around all aspects of compensation. And those standards are driven by two things:

Analogous titles in the rest of state government, as well as market conditions.

And, so, we have access to, I
believe it is the -- it's called CUPA. And
I'm blanking here on what "CUPA" stands
for, but it's our national organization
that collects data on salaries in higher
education. And we really use that to
benchmark where we are. And in some
instances we probably are exceeding the
CUPA average and in some instances we are
under the CUPA average, but those two
things. So, the state environment, our -our executive pay plan, our -- our regular
pay plan and market conditions drive our
salaries.

With titles that are associate, vice chancellor associate -- I'm going to get my -- my vernacular here because it's switched from what I'm used to, but that

1 associate to the president level, those are subject to a very public process. 2 3 certainly, the associate vice chancellor 4 and higher goes through our Board of 5 Trustees where all aspects of the 6 appointment, including compensation, are 7 properly noticed and subject to a public hearing process. 8 CHAIRMAN KLEIN: I -- I quess --9 10 well, the positions that I have weren't 11 chancellors, it was professors and chair at Downstate Medical Center --12 13 VICE CHANCELLOR RIMAI: Uh-huh. 14 CHAIRMAN KLEIN: -- and -- and I 15 guess that's what -- the salary rate was 16 \$466,709, but the total compensation was

947,462.

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VICE CHANCELLOR RIMAI: Uh-huh.

CHAIRMAN KLEIN: How did that -- you know, what is -- how does the total compensation package work?

VICE CHANCELLOR RIMAI: Well, as

I -- I mean, it's consistent across,

including, for our physician educators --

CHAIRMAN KLEIN: So, is this someone who actually got paid a certain salary or rate of pay for their teaching and then for the actual surgery work --

VICE CHANCELLOR RIMAI: Yeah.

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CHAIRMAN KLEIN: -- they did in the hospital?

VICE CHANCELLOR RIMAI: -- most And I -- I think that -- well, I have to get this right here. Brooklyn might be slightly different in terms of their sources and uses. But for the most part, faculty at colleges of medicine are -- or academic health centers have really three components to their compensation. There's their base salary, which is associated with teaching and service; there is salary associated with the healthcare services that they provide. They're compensated for that as part of a practice plan. And then there may be -may be some additional compensation for -to incentivize research dollars that they

1 bring in. Those are --2 CHAIRMAN KLEIN: See, that --3 that --4 VICE CHANCELLOR RIMAI: -- generally 5 the three components --6 CHAIRMAN KLEIN: -- that's the third 7 part that I'm not clear on. I kind of 8 understood the other two; that there would 9 be a basic salary rate. And, then, I 10 guess, if they have certainty a specialty, 11 that hospital would want them. And, you 12 know, it's something that's important for 13 the hospital. And they work out an 14 arrangement --15 VICE CHANCELLOR RIMAI: Uh-huh. 16 CHAIRMAN KLEIN: -- where, let's 17 say, they bring in, you know, 15 surgeries; 18 you know, heart surgeries, a year and they 19 work out an arrangement where they get "X" 20 amount of dollars. I know that's one. 21 So, the third one; so they can be 22 compensated separately from the 23 foundation --24 VICE CHANCELLOR RIMAI: Well, I

don't know if I would call it separately, but it's one of the drivers of the negotiation process, Senator, because the reality is that in academic health centers the research that these faculty bring with them are really as important as the teaching, service and healthcare that they provide. It's a huge part of what these academic health centers do. And it's a -- it what -- it's what distinguishes us from other not-for-profit compliance --

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CHAIRMAN KLEIN: Well, I guess what --

VICE CHANCELLOR RIMAI: -institutions --

CHAIRMAN KLEIN: -- I'm getting at,

I'm trying to figure out if, you know,

I'm -- I'm looking at this total

compensation for this specific professor.

Is there additional compensation that I

don't know about because it's coming from

the SUNY Research Foundation. So, is it

possible that, I guess, this \$947 thousand

chair could be -- make even more than that?

VICE CHANCELLOR RIMAI: Well, I'll tell you what, I will look at those specific situations. Off the top of my head, I do not know what the sources and uses of their total compensation package is. But that is certainly something we can find out.

CHAIRMAN KLEIN: One more question --

VICE CHANCELLOR RIMAI: Certainly.

CHAIRMAN KLEIN: -- as far as the foundation. Is there a way, and I guess, you'll be able to answer that, I guess, when you give the information on the chair, but is there a way that we have this SUNY Research Foundation --

VICE CHANCELLOR RIMAI: Uh-huh.

CHAIRMAN KLEIN: -- clearly, as you said before, it was formed as an independent, not-for-profit. I -- is there a way that we can somehow offset these very high salaries by instead of, you know, coming out of SUNY payroll, being supplemented by the Research Foundation?

So, in other words, if someone is getting a total compensation of \$947 thousand, you know, could a portion of that money be directly from the SUNY Research Foundation so we can actually offset the cost, and we don't have to constantly be, you know, cutting, you know, vital programs to our students at the SUNY system? And, I guess, you'll answer the question if you can tell me -- evidently, it could -- it possible could be that this person who made \$947 thousand made even more than that.

VICE CHANCELLOR RIMAI: Uh-huh.

Well, one thing I -- I do want to be clear about with regard to resources that are in the R.F., is that a significant portion of those resources are encumbered.

In other words, when dollars come in to perform research from this sponsor, we are required to spend those dollars on that research. So, that will -- that will affect the size of, you know, at first blush, it looks like. And we count those research expenditures. But they are

absolutely encumbered.

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In terms of using a percentage of overhead to offset compensation, you know, I -- I -- let me look at those individuals because if -- if, in fact, there are -- are folks that are being compensated to the R.F., and I'm -- I -- I know we looked at that, I'm sure I can get that data for you, then the answer is, I supposed we could consider doing that more often. But the primary purpose of the R.F. is to use overhead to find more ways to bring in -to pay for additional research, to incentivize more research and to -- to engage in the tech transferred process, which is a lot like venture capital. mean, you have to invest a lot of resource in order to get that one, you know, miracle invention from bedside to -- to market place.

So, what we -- we -- we might be offsetting in one area and then losing opportunity in another. And -- and that is -- you know, that's not unique to our

business, but it certainly very intense; that when you're looking at one issue, you certainly have to step back and look at the consequences of how that may impact other areas of our -- both our operating budget and our capital budget and our research activity.

CHAIRMAN KLEIN: I thank you very much.

Does anyone have any other questions?

SENATOR FOLEY: Mr. Chairman, just if I may?

CHAIRMAN KLEIN: Sure.

SENATOR FOLEY: Thank you. Just as, again, we spent quite a bit of time on Research Foundation, but it is one of the areas that both here and also Higher Education Committee has explored.

When Senator Johnson asked you some questions about Research Foundation and some of the responses gave us part of the answer. But what I would like, if you could, Vice Chancellor, you mentioned about

1 a -- it will be an open process. Has an 2 R.F.P. been sent out --3 VICE CHANCELLOR RIMAI: No --SENATOR FOLEY: -- on -- on this? 4 5 VICE CHANCELLOR RIMAI: -- it -- it hasn't --6 7 SENATOR FOLEY: Or how -- how do you 8 intent to -- what will the process be? 9 Will it be advertising? What kind of 10 outreach will there be in -- in -- as you 11 described it in this open process that 12 you'll undertake? 13 VICE CHANCELLOR RIMAI: Well, I 14 think it's going to be an open process, 15 that we're going to use, you know, the 16 R.F.P. for --17 SENATOR FOLEY: Okay. 18 VICE CHANCELLOR RIMAI: 19 getting -- getting the feedback from --20 from -- from all of you. 21 SENATOR FOLEY: Okav. 22 VICE CHANCELLOR RIMAI: I will say 23 that we were first looking at the array of 24 opportunities; that we're sort of surveying

1 the market, and who --2 SENATOR FOLEY: Okav. 3 VICE CHANCELLOR RIMAI: -- might participate. But --4 5 SENATOR FOLEY: Okay. 6 VICE CHANCELLOR RIMAI: -- we're 7 very open. And I get the strong sense that 8 you would like to see this go through an 9 R.F.P. process. And -- and we will 10 certainly undertake that --11 SENATOR FOLEY: Well, this -- you 12 know, this is professional services. So, 13 sometimes it does goes through R.F.P., 14 other times it does not --15 VICE CHANCELLOR RIMAI: Right. 16 SENATOR FOLEY: If -- if -- if the 17 best approach is -- is through an R.F.P., 18 then that's fine. Just keeping us apprised 19 of how that will unfold, and how the 20 advertisement will occur. 21 And you mentioned early back going 22 outside of New York State. Is there a 23 reason why -- I mean, maybe it's obvious, 24 but if you could just state why you wish to

1 go outside New York State. Certainly there 2 are professionals within the state who 3 could also --VICE CHANCELLOR RIMAI: Uh-huh. 4 5 SENATOR FOLEY: -- let's say, be -be in the mix of potential professionals. 6 7 But why did you not want to have any 8 New York State concerns involved with this? 9 VICE CHANCELLOR RIMAI: I -- I -- if 10 I -- if I said that we wanted to 11 exclusively go outside of the state I 12 misspoke. 13 SENATOR FOLEY: That's all right --14 VICE CHANCELLOR RIMAI: I'm actually 15 a big fan of a diversity of experience. 16 But my primary interest in -- in getting some folks from outside of the state was to 17 18 get people who had experience with other 19 collegiate --20 SENATOR FOLEY: Okay. 21 VICE CHANCELLOR RIMAI: -- research 22 foundations. 23 SENATOR FOLEY: Gotcha. Okay, very

good. All right. Thank you.

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Thank you, Mr. Chairman.

CHAIRMAN KLEIN: Senator Cousins.

SENATOR COUSINS: Yes. I -- I -- I didn't really have a question. And, I guess, I just waited to the end to -- to say that I see the opportunities that SUNY has to be very, very great. And I really appreciate listening to your testimony. I looked and see that you've been here since October. And, so, I'm sure this environment is very, very difficult to begin. And although, as you said, there's not zero base budgeting, it sounds to me like reports such as this gives you at least the ground zero kind of --

VICE CHANCELLOR RIMAI: Uh-huh.

SENATOR COUSINS: -- place to begin to look at the operations.

And listening to -- to you and you're willingness to -- to take a look and to -- to begin at the beginning, the middle, whatever it's required, is very, very heartening.

So, I'm -- I really am -- I want to

1 thank Senator Klein for doing this report. And in many ways I think it will be helpful 2 3 for you as you begin --VICE CHANCELLOR RIMAI: Uh-huh. 4 5 SENATOR COUSINS: -- to take a look at the varies aspects of -- of governments 6 there at SUNY. 7 So, we -- we look forward to the 8 partnership. And I really wish you good 9 luck. 10 VICE CHANCELLOR RIMAI: Thank you. 11 SENATOR COUSINS: I -- I think 12 you're a wonderful asset. 13 VICE CHANCELLOR RIMAI: Thank you. 14 15 I appreciate it. And I -- I, too, very 16 much agree with your observations about the value of -- of this committee and the --17 18 the report. SENATOR COUSINS: 19 I thank you. 20 VICE CHANCELLOR RIMAI: Thank you. 21 CHAIRMAN KLEIN: Again, Vice Chancellor, I want to thank you so much 22 23 for testifying --24 VICE CHANCELLOR RIMAI: Thank you,

1 Senator --CHAIRMAN KLEIN: -- before the Task 2 3 Force today. 4 VICE CHANCELLOR RIMAI: Thanks -thanks to all of you. 5 SENATOR KLEIN: Thank you. 6 7 Next we're going to have, I guess, both at the same time in the interest of 8 9 saving some time, Council 82, represented by James Lyman, the Executive Director, and 10 Pete Barry, Executive Vice President of the 11 SUNY Police Officers Union. 12 Thank you so much for coming by 13 14 today, guys. Thank you for your --15 MR. BARRY: 16 CHAIRMAN KLEIN: Who wants --MR. BARRY: -- invitation. 17 CHAIRMAN KLEIN: -- who wants to 18 19 start? 20 MR. LYMAN: I guess I will, 21 Chairman. 22 Chairman Klein, distinguished 23 members of the New York State Senate Task 24 Force on Government Efficiency, good

afternoon and thank you for the opportunity to speak here today about suggestions and recommendations on ways to improve the administration, operation of the State University -- State University of New York Police Department in order to eliminate wasteful spending and to increase efficiency.

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My name is James Lyman. I am the Executive Director of Council 82 for the New York State Law Enforcement Officers Union. I represent nearly 5,000 law enforcement officers across this great I appear before you today on behalf state. of James McCartney, the president of New York State University Police Officers Union, Local 1792. Local 1792 represents approximately 450 police officers and police investigators that work on the 28 state operated universities and campuses. My testimony today will hopefully offer suggestions for you to consider as ways to reduce the wasteful spending occurring within the State University Police

Department, to improve the overall operation of the State University Police Department and to save the State of New York a substantial amount of money during this fiscal crisis currently facing New York State.

Just to vere off for a minute.

Sitting here, no disrespect to the Vice

Chancellor, and she's only been here since

October, she's taken a lot in, but it was

very aggravating in the fact that, not that

it was not truth, but there are police

chiefs in SUNY who are not mandating

policer officers, certified, whatever. We

have police chiefs that refuse to

voluntarily give up their fingerprints.

So, I just had to get that out before I lose my train of thought because I was --

CHAIRMAN KLEIN: Well, if you can supply the Task Force, you know, with the specifics --

MR. LYMAN: I'll --

CHAIRMAN KLEIN: -- that will be

part of my follow up --

MR. LYMAN: -- our -- and we'll make our members completely available --

CHAIRMAN KLEIN: -- to the --

MR. LYMAN: -- to you. I mean --

CHAIRMAN KLEIN: -- to the Vice

Chancellor --

MR. LYMAN: -- it was -- in my 25
years in law enforcement I've never before
seen an operation such as that which is
employed by the State University Police
Department. Whether it was pure ignorance
on my part or the mere assumption that the
State University Police Department operated
the same as any other police department in
this state or country, I was truly amazed
and appalled 8 years ago when I assumed the
leadership role at Council 82. And I
learned firsthand how SUNY actually
operated, let alone the obvious fiscal
mismanagement that was taking place.

The State University of New York, the largest public university system in the United States, consists of 64 campus, 28

state operated colleges, 30 community 1 2 colleges, 5 statutory colleges and the 3 Empire College. SUNY System Administration provides general oversight of SUNY 4 operations. System Administration's Office 5 6 of University Police is responsible for 7 coordinating police operations throughout the SUNY system and setting training, 8 hiring, operational standards and emergency The New York State 10 management preparation. 11 University Police Departments are located at each of the 28 state operated colleges 12 and are the primary law enforcement 13 14 agencies handling all crimes, requests for assistance, and service calls that occur on 15 16 State University property. To familiarize this task force with an understanding of 17 18 what a New York State policing organizational structure looks like, I 19 refer you to the model employed by the 20 21 State Troopers, the Environmental 22 Conservation Police, Forest Rangers and the Park Police. Each department utilizes a 23 24 rank and file system, with a singular

leader who delegates the required actions necessary to fulfill the mission of the agency. The size of the agency has no adverse impact on this model, as evidenced by the Environmental Conservation Police, who have one-third the numbers of the State University Police or the State Troopers, who have ten times as many.

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The State University system employs a completely different model of policing; a model which is not found in any other form of government. At SUNY, each campus police department, technically, is not affiliated with any other police department in the SUNY system. Each campus employs their own police chief and organizational structure, beyond what the SUNY Statewide Manual of Rules defines. To clarify, each campus police department relies on SUNY to recruit, collectively bargain, and pay their police officers, but they do not have to answer in any way to SUNY System Adminstration's Office of University What's more, is that instead of Police.

having a singular commissioner, with a career or experience making critical decisions, many campuses have appointed -- appointed police chiefs, without police officer experience or certification in this state.

The State Comptroller's Office has published a report which stated that two-thirds of SUNY colleges report campus crime statistics to the Federal Department of Education that conflicted, in some cases substantially, with the colleges' internal records. If a qualified police commissioner was charged with the safety and police administration of SUNY's 28 campuses, these incidents of underreporting crime would not have occurred, ensuing compliance by ensuring proper oversight.

The current structure of the police department has an adverse financial impact on the state university system. Based on salary figures obtained from the New York State Comptroller's Office as of June, 2008, the state university policing model

uses 65 management confidential positions, at a cost of \$6.2 million to run the day-to-day operations of it's 28 police departments. Conversely, the other 3 police agencies in New York State, the Division of State Police, the Environmental Conservation and the Parks Police utilize only 24 management confidential positions, at a cost of \$3.8 million, even though they collectively supervise over 4,500 more police officers than the State University. This is where the redundancy of management confidential positions is most glaring, and where an opportunity for SUNY to save, literally, millions of dollars exits.

Because each police department is independently operated, each employs their own manual of procedures; a manual of procedures is a standard feature in any institution by no more so than within a police department. Yet, SUNY police department -- SUNY -- yet some SUNY police departments do not have a manual of procedures. This means that a police

office has little or no direction as to how the university wishes to address a specific problem, thus liability issues arise.

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Training on some of our campuses in nonexistent, which means that through the Chancellor's task force on critical incidents recommended specific training for police response to a critical incident such as Virginia Tech, not all campuses have trained their officers for such an event. From a Union standpoint, we implore this task force to seriously consider the suggestions that we -- we will present here today and to also consider the 36 other state university campuses and how the safety and security is handled there as well. We would ask that if centralization is implemented, that they should also include these campuses, which now are either handled by peace officers, security officers or no one at all. And that a chain of command or a supervisory career ladder system be implemented here -thereby allowing for career growth by

university police officers, which is nearly nonexistent today. As a Union we will continue to fight for our officers to secure better working conditions, and benefits, especially disability and retirement benefits which are afforded to other police officers in the State, but not

the university police.

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From the standpoint of a taxpayer and father of two high school juniors who will be attending college in the very near future, and younger children in the distant future, I believe that the facts presented here today speak for themselves. How can such governmental waste be tolerated, and how can the safety and security of our greatest asset, our youth, be ignored? These messages have been conveyed to the SUNY Board of Directors in the past and the Governor's office and have landed on deaf ears because no one wants to end someone else's political huckle. The time has come, and the citizens of this state are fed up with government waste and

corruption. And it certainly appears that SUNY as a whole is ripe and needs to be cleansed once and for all. Please correct the problems and do not allow the State University Police Department to continue to be a dumping ground for political patronage jobs anymore.

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That being said, I would like the panel to think about a common theme that is very prevalent during these difficult fiscal times: Consolidation of Governmental services. Everywhere we look in today's society we see governmental entities exploring ways to deliver the same quality of services while attempting to cut waste and reduce the duplication of those same services, whether that be on the federal, state, county or local level, even in the private sector. The State University of New York currently uses a model of policing which opens itself to redundancy, inefficiency, financial waste, erroneous crime reporting, and difficulty in maintaining a consistent professional

image.

Council 82 and The New York State
University Police Officers Union strongly
recommends that this task force will
support the establishment of a centralized
model of policing to be implemented within
the State University of New York, that a
single -- a singular police commissioner's
office, appointment by the chancellor or
the Board of Trustees be charged with
overseeing the day-to-day operations of our
police agency, with full authority to make
the necessary changes to carry out the
mission of the state university police. I
have brought along and provided copies of
anything for the members of the task force.

I thank you for your time and consideration and I'd be happy to take any questions.

CHAIRMAN KLEIN: All right. Mr.

Barry. I guess will do -- answer questions

after you both --

MR. BARRY: Thanks, Mr. Chairman. I would like to apologize in advance if my

testimony is redundant in any way to Mr.

Lyman's, but that seems to be the SUNY way.

So, hopefully you'll bear with me.

(Laughter)

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Chairman Klein, members of the Task Force on Government Efficiency, good morning (sic). My name is Peter Barry. Ι am the Vice president and Legislative Director of the New York State University Police Officers Union, Local 1792 of the American Federation of State, County, and Municipal Employees, AFSCME, Council 82 and AFL-CIO. I resent -- I represent the approximately 450 police officers and investigators that work in the 28 police departments of SUNY's state operated campuses. Currently, I work as a SUNY -- a State University Police Officer at the University of Albany. Thank you for welcoming me here today.

I'm here to bring to your attention a matter within SUNY which over the last 10 plus years has become a major source of financial waste and considerable redundancy

to the SUNY System and to offer for your consideration initiatives that the Union believes will result in improved campus safety, greater efficiency and help save the taxpayers millions of dollars.

In 1998 the New York State

Legislature passed the "University Police

Bill", which authorized all SUNY campuses
to employ a police department charged with
providing for the safety and security of
their respective campus communities. On
the first day of 1999, when this bill
became law, it quickly became evident that
SUNY had no master plan to implement any
type of universally accepted police
administrative model, nor had any long term
goals and/or strategies for university
policing.

Currently, SUNY operates with 28 different police departments; one police department for each of it's campuses.

That's 28 different sets of regulations, budgets, policies, rank structures, and uniform variations; 28 different standards,

goals, and police philosophies, right down to the stripings (sic) on the university police cars. Within SUNY, each campus police department, technically, is not affiliated with any other police department in the SUNY system, which means that each campus employs its own police chief and organizational structure beyond what the SUNY Statewide Manual of Rules defines.

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Each campus police department relies on SUNY Central Administration to recruit, collectively bargain, and pay their police officers, but they do not have to answer, in any way, to SUNY System's Administration Office of University Police. It is the equivalent of having a New York City precinct captain who does not have to report to the city's police commissioner. Furthermore, instead of having a singular experienced SUNY police commissioner, with a career of critical decision making experience, many campuses have appointed chiefs of police who have no police officer experience or New York State certification.