New York State Senate INVESTIGATIONS AND GOVERNMENT OPERATIONS COMMITTEE



2011 Annual Report

Senator Carl L. Marcellino, Chairman

NEW YORK STATE SENATE INVESTIGATIONS AND GOVERNMENT OPERATIONS COMMITTEE

2011 ANNUAL REPORT

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ENVIRONMENTAL CONSERVATION
FINANCE
LABOR
RULES

January 2012

Honorable Dean Skelos President Pro Tem and Majority Leader New York State Senate Albany, New York 12247

Dear Senator Skelos:

I am pleased to transmit the Annual Report of the Senate Investigations and Government Operations Committee for the 2011 Legislative Session. One of the most significant Committee achievements in 2011 was the enactment of the Fine Winery law. The enactment of this legislation significantly reduces the regulatory burdens placed on farm wineries by the State Liquor Authority (SLA) and allows them to thrive as a key tourism, agricultural, and economic engine for our State. The law allows for the opening of branch stores, clarifies custom crushing, streamlines the direct shipper's reports, consolidates the farm winery license, and creates an annual charitable events permit. Senator Young's Bill S.4143-A was signed into law by Governor Cuomo on July 22, 2011.

Other significant Committee legislation that was enacted during the 2011 Legislative Session includes my Bill S.4772 which clarifies and streamlines the permitting for restaurants that offer dinner and a movie, allowing the sale of alcohol at theaters with only table seating and a full restaurant menu selection. Another substantial legislative achievement was Senator Farley's legislation, S.5140-B, the Taxpayer Refund Choice Act, which will ensure the right of State residents to continue to receive personal income tax refunds by paper checks, in addition to the other offered options.

In addition, the Committee conducted three hearings during 2011 covering agency consolidation and the operation of two State authorities. In March, the Committee looked at the Governor's proposal to consolidate a number of State Agencies, resulting in a number of recommendations in the final consolidation language. The Committee reviewed the financing of the Metropolitan Transportation Authority (MTA) in May with a series of actions to save money. The Committee examined the Long Island Power Authority (LIPA) response to Tropical Storm Irene and released suggestions to reduce outage time from future storms.

My thanks go out to my colleagues not only on the Committee, but to the Senate Majority as well, for their support of the important work that was done this year.

Sincerely,

Carl L. Marcellino

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COMMITTEE JURISDICTION

The New York State Senate Standing Committee on Investigations and Government Operations has legislative oversight responsibilities on initiatives amending a variety of laws focusing on government operations. The Committee is charged with the responsibility of overseeing State actions and policies. In addition, the Committee does have the authority to conduct investigations of State entities.

The Committee's purview covers sections of the Alcohol Beverage Control law, Civil Rights law, Executive law, Indian law, Legislative law, Public Authorities law, Public Buildings law, Public Lands law, Public Officer law, State law, Tax law and Office of General Services land transfers in Unconsolidated law.

During the 2011 Legislative Session, 510 Senate bills were referred to the Committee for its review. The Committee met on nine occasions, considering 150 bills and one nomination. Detailed legislative histories of each bill are available through the Investigations and Government Operations Committee or the Legislative Bill Drafting Commission.

In order to present a thorough overview of legislative activities affecting State government operations, this Committee Report includes articles on hearings, special events and summaries of legislation enacted. A listing of bills which were reported from the Committee and a list of new laws is also provided.



• IN FOCUS - 2011 •

ALCOHOL BEVERAGE CONTROL

Alcohol Beverages in "Dinner and Movie" Theaters

Chapter 475

On August 17, 2011, Governor Cuomo signed into law Senator Marcellino's bill (S. 4772) that allows the sale of alcoholic beverages at "dinner and movie" theaters if all the seating is at tables. This legislation clarifies and streamlines the permitting for venues that offer dinner and a movie. To be covered under this law, these theaters must have only table seating and a full restaurant menu selection.

Fine Winery Law

Chapter 221

The enactment of this legislation significantly reduces the regulatory burdens placed on farm wineries by the State Liquor Authority (SLA) and allows them to thrive as a key tourism, agricultural, and economic engine for our State. This law implements several of the recommendations made by the New York State Wine Grape Task Force in its December 2008 Report to the Commissioner of the Department of Agriculture and Markets.

The Fine Winery Law includes:

Branch Store Capability: The law gives farm wineries the ability to operate up to five branch stores. While farm wineries could previously operate up to five "satellite stores," those stores had to obtain separate licenses and were subject to the same off-premise restrictions imposed on package/liquor stores. The new "branch store" system allows these stores to be considered extensions of the farm winery, not as separate entities, making it much easier for these stores to be opened up around the state.

Custom Crush Capability: The law clarifies the ability of farm wineries to provide and/or utilize custom crush services for purchasers of New York grapes, thereby encouraging smaller vineyards to enter in the industry, which in turn will foster rural economic growth.

Direct Shipper's Report Efficiencies: New York wineries have been able to ship directly to consumers in other States since 2005 but needed to produce a very costly, time consuming and underutilized report. This law now requires them to maintain reports on-site and provide them to SLA only upon request.

License Consolidation: Under this law, the State's wineries that manufacture less than 1,500 gallons of wine annually are no longer required to apply annually for a separate micro-winery license; rather all farm wineries will have the same license, with micro-winery licenses continuing to cost \$50 annually.

Charitable Events Filing Efficiencies: New York wineries seeking to participate in charitable events are no longer restricted to five per year. Now, wineries will have to obtain an annual permit and notify SLA of the event, greatly reducing the amount of burden on both wineries and SLA, while ensuring the same oversight.

Governor Cuomo signed Senator Young's legislation (S. 4143-A) into law on July 22, 2011.



PUBLIC OFFICERS LAW

Enhancing the Public's Right to Observe the Decision-Making Process Chapter 603

The enactment of this law requires public bodies covered under the Open Meetings Law to make documents available to the public prior to or at a meeting when the issue will be discussed. This change will decrease the burdens on these public bodies for freedom of information requests for these same documents after a meeting has been held. The law will not impose additional financial costs but rather eliminate many of the previous administrative tasks needed to process FOIL requests.

Senator Saland's bill (S. 3255-B) was signed into law by Governor Cuomo on January 3, 2012.



TAX LAW

Discontinuing the government reselling of counterfeit or seized cigarettes by the State

Chapter 556

Prior to the enactment of this legislation, when counterfeit cigarettes were seized by law or tax enforcement, notice was published in the newspaper where the seizure occurred and the products seized were made available for sale to the public, secondary retailers and the prison system. This legislation takes the State of New York out of the business of selling counterfeit and seized cigarettes and put it in the business of removing these illegal products from circulation. Governor Cuomo signed Senator Gallivan's bill (S. 3649A) on September 23, 2011.

Taxpayer Refund Choice Act

Chapter 479

On a yearly basis, millions of New Yorkers overpay their State and local income taxes. These overpaid funds remain the rightful property of the taxpayers. Taxpayers are entitled to the timely refund of their overpayment and should be able to receive their refunds in a manner that is most convenient, understandable and best suited to their individual financial needs. This law ensures the right of State residents to continue to receive personal income tax refunds by paper check, in addition to any other offered options. Governor Cuomo signed this legislation (S. 5140-B) authored by Senator Farley on August 17, 2011.

Access to the Wage Reporting System

Chapter 206

New York State Retirement and Social Security Law places limits on the amount that may be earned by a retiree upon return to public employment. The Comptroller and the New York State and Local Retirement System have a fiduciary obligation to suspend the payment of retirement benefits to retirees who re-enter the public workforce and whose salaries exceed these earning limitations. This law allows the New York State and Local Retirement System to compare information with the thousands of local public employers in the State. Senator Griffo's legislation (S. 5460-A) was signed into law by Governor Cuomo on July 20, 2011.

Clean Fuel Oil Credit

Chapter 591

Governor Cuomo signed into law Senator Maziarz's bill (S. 5349-A) on October 14, 2011. This legislation extends the penny per gallon biodiesel income tax credit. The tax credit promotes the use of clean heating oil, as well as improves air quality, protects the environment and encourages domestic sources of fuel.

Qualified Emerging Technology Company Facilities, Operations and Training Credit

Veto #86

The Qualified Emerging Technology Company Facilities, Operations and Training Credit (QETC) was originally created as part of the 2005-2006 State budget. The QETC offers a fully refundable credit with money back in excess of tax liability. To qualify, companies must have 100 or fewer full-time employees (at least 75% of which are employed in New York), at least 6% of net sales spent on Research and Development, and \$10 million or less in annual sales. Senator Alesi's bill (S. 5633-B), was vetoed by Governor Cuomo on January 3, 2012.

NOMINATIONS

In accordance with Senate Rule VII governing standing committees, the Senate Committee on Investigations and Government Operations is responsible for reviewing all nominations sent by the Governor for the appointment of any officer to boards, commissions and councils involved in matters before the committee

Such nominations are submitted by the Governor to the Temporary President of the Senate who then refers such nominations simultaneously to the Investigations and Government Operations Committee and the Finance Committee for consideration and recommendation. At the discretion of the Investigations and Government Operations Committee a public hearing may be called on the nomination or, after due consideration, the Committee may vote to refer the nomination to the Senate Finance Committee. Thereafter, the Senate Finance Committee makes its recommendations to the entire Senate.

The Committee considered the nomination of one individual during the 2011 Legislative Session. On March 8, 2011, the Senate Committee on Investigations & Government Operations recommended Thomas H. Mattox for confirmation as Commissioner of the Department of Taxation and Finance.



HEARINGS •

CONSOLIDATION OF STATE AGENCIES

Governor Cuomo's 2011-2012 Executive Budget proposed the consolidation of several State agencies. The Investigations and Government Operations Committee held a hearing on the Consolidation of New York State Agencies on Tuesday, March 15, 2011 at the Legislative Office Building in Albany, New York.

The Governor's proposals included:

- Consolidating the Department of Correctional Services (DOCS) and the Division of Parole (Parole) to form a new Department of Corrections and Community Supervision (DCCS);
- Merging the Office for the Prevention of Domestic Violence (OPDV), the Office of Victim Services (OVS), and the State Commission of Correction (SCOC) into the existing Division of Criminal Justice Services (DCJS);
- Merging the NYS Foundation for Science, Technology and Innovation (NYSTAR) into the Empire State Development Corporation (ESD);
- Merging the Department of Banking, Department of Insurance, and State Consumer Protection Board to form a new Department of Financial Regulation.

The Investigations and Government Operations Committee made the following suggestions:

Department of Corrections and Community Supervision Suggestions:

- Limit powers of new DCCS in terms of final determination of offenders release date and conditions
- Maintain Parole Board at current membership of 19
- Maintain Parole Board's full authority
- Add additional details and goals for new DCCS on recidivism
- Require more reporting regarding graduated sanctions, recidivism, violations; and require geographical context of information
- Limit proposed powers granted to new Commissioner of DCCS to those currently granted to DOCS Commissioner and Director of Division of Parole

Division of Criminal Justice Services Suggestions:

- Add language to ensure that SCOC remains independent but supported administratively by DCJS
- Merge OVS into DCJS
- Retain SCOC and OPDV as separate entities
- Merge OPDV into OVS and not DCJS

 Physically locate OPDV, OVS and SCOC in DCJS building allowing for savings on leased space

NYS Foundation for Science, Technology and Innovation Suggestions:

- Add additional details and goals to ensure merger of high technology grant programs into current ESD grant programs
- Add language to specifically transfer the technical NYSTAR staff to ESD or Department of Economic Development

Department of Financial Regulation Suggestions:

- Change the name of the new entity from the Department of Financial Regulation to the Department of Financial Services
- Maintain the Consumer Protection Board as a separate entity, with funding from the Do Not Call Registry and the General Fund or assessments, not fines
- Add a legislative intent in the legislation stressing the importance of the banking and insurance industries in our state's economy
- Add language clarifying the new Superintendent's power:
 - Ensuring the promotion of the state charter for banks
 - Promoting and assuring the continued state regulation of insurance
 - Developing a 21st century regulatory environment which is both collaborative and cooperative with regulated entities and protective of the state's consumers
 - Dividing the regulatory interests between banks and insurance where necessary but
 permitting shared resources within the new department where no conflict exists in such
 areas as Human Resources, Technology, and building support services, and assuring a
 split of assessments between industries
- Add language clarifying Superintendent's power in determining split of assessments between industries
- Retain the Bank Board or provide a new banking advisory board that serves as a conduit between small banks and the superintendent
- Add language to specify any necessary modernization or streamlining needed to improve the efficiency of the new Department
- Add language clarifying the powers for fraud investigations and those of the Attorney
 General and District Attorneys and permitting the new Superintendent to refer matters to
 them for civil enforcement and prosecution, respectively

FINANCE, POLICIES AND PRACTICES OF THE METROPOLITAN TRANSPORTATION AUTHORITY

The Investigations and Government Operations Committee convened on Thursday, May 5, 2011 on the SUNY Old Westbury campus to investigate the serious and recurring financial problems at the Metropolitan Transportation Authority and what changes need to be made to reduce these on-going deficits and stop the continuing cycle of increased fares and decreased services.

The Metropolitan Transportation Authority (MTA) provides public transportation in and around the New York City area, stretching into seven counties in New York State and two counties in Connecticut. The system includes the Long Island Railroad, the Metro-North Railroad, the Staten Island Railway, Long Island Bus, MTA Bus, New York City Transit, and the bridges and tunnels into New York City. The MTA has an operating budget of \$13.3 billion with 66,549 employees for 2011.

In September 2010, Comptroller DiNapoli released the "Financial Outlook for the Metropolitan Transportation Authority," providing a detailed look at the recurring structural imbalances projected to be \$1 billion for 2011, and doubling by 2014 to \$2.1 billion. The MTA is implementing a number of cost saving actions to close these gaps. Even with these actions, the MTA will have a budget deficit of \$1.6 billion in 2014.

Testifying before the Committee were:

- Jay H. Walder, Chairman & Chief Executive Officer of the Metropolitan Transportation Authority
- Kenneth B. Bleiwas, Director of Bureau of Agency Analysis for the Office of the Comptroller
- Ira Greenberg, Chairman of the Executive Committee of the Permanent Citizens Advisory Committee to the Metropolitan Transportation Authority

As a result of the hearing, the Investigations and Government Operations Committee made the following recommendations:

LABOR

- An August 2010 Comptroller's Office report found that the MTA has not effectively managed and controlled its overtime costs.
 - As union labor agreements are negotiated, the use of overtime needs to be addressed
 - o The when and how overtime is used by management needs to be controlled
 - Policies need to be developed to continue to seek ways to reduce overtime expenses and eliminate future fraudulent practices

- The majority of overtime usage is to cover shifts for sick employees.
 - Management should investigate if there might be excessive or fraudulent use of sick time
 - Management should work on additional options to cover the shifts of sick employees
- Many of the MTA's union contracts have expired or will be expiring shortly.
 - The MTA should seek to use the on-going and upcoming labor negotiations to change current terms of contracts to create savings that will be re-occurring and sizable
- MTA currently employs approximately 10,000 managers and supervisors.
 - o The MTA should investigate possible management positions for elimination

MANAGEMENT

- The MTA has instituted a number of efficiencies to assist in remedying potential budget gaps.
 - The MTA should continue to streamline policies to create a more efficient system to reduce costs
- MTA administration is seeking innovative ways to provide quality services without increasing costs.
 - The MTA should investigate the use of public/private partnerships to drive savings and better service.
- The State has found the E-Z Pass systems to be successful in collecting revenue while increasing administrative savings and relieving traffic congestions.
 - The MTA should more fully utilize the use of cashless toll systems for bridges, tunnels, buses, subways and trains.
- Personnel and miscellaneous services contracts cost the MTA an average of \$1.5 billion annually, which are up to 15% of the MTA budget.
 - The MTA should improve the process for approving personnel and miscellaneous service contracts.



REVENUE

- The MTA has a vast portfolio of real estate and related property rights under its control in and around the NYC Metropolitan area.
 - MTA should continue the actions taken to sell excess property. All properties should be reevaluated on a periodic basis.
 - Air rights over existing properties need to be more aggressively marketed and leased or sold
 - More effort is needed to ensure that empty rental properties are not left vacant for more than 1 month.
 - A strategic plan needs to be developed to explore new avenues to generate revenue through the MTA's real estate holdings
- It is estimated that the MTA is losing millions of dollars in revenue from lost ticket sales.
 - A ticketing system for the LIRR and Metro North needs to be instituted that ensures that all passengers are purchasing a ticket at the appropriate fare.
- Current estimates reflect that the MTA's Capital Plan faces a \$9.9 billion shortfall by 2014.
 - Investigate other avenues to close a \$9.9 billion gap in their Capital Plan rather than expecting another State bailout.
 - The current spending under the Capital Plan must be evaluated to ensure appropriate spending and to control cost overruns.
 - The MTA should make a concentrated effort to increase their lobbying for federal funds for the Capital Plan.
- In December of 2010, the MTA instituted a 7.5% increase of fares and tolls. Another 7.5% increase is projected for 2013.
 - Any new revenue from fare increases should not be spent to increase cost of staffing and should reserve a portion for paying debt service and on-going costs of the Capital Plan.
- Recently, dedicated bus lanes have been established which include enforcement cameras.
 - The MTA should receive a portion of the fines collected though enforcement of improper driving in the MTA bus lanes.
- In 2009, NYS enacted the Payroll Mobility Tax. This Tax is currently generating \$1.4 billion in revenue to the MTA.
 - The MTA should consider recommending a phase out of the MTA payroll tax and replace it with tolls on the East River bridges
 - The MTA should consider recommending cutting the MTA Payroll tax in half for the suburban Counties and increasing the rate in New York City by one-half.

LONG ISLAND POWER AUTHORITY'S RESPONSE TO TROPICAL STORM IRENE

In response to the Long Island Power Authority's Response to Tropical Storm Irene, the Investigations and Government Operations Committee held a hearing on Thursday, September 22, 2011 at the Legislative Chamber of the Nassau County Office Building in Mineola, New York.

Tropical Storm Irene knocked out power to 48%, or 523,000 of LIPA's 1.2 million customers. The systems that LIPA and National Grid instituted to restore power were overwhelmed by this level of destruction. We must be prepared for larger storms with increased outages and more catastrophic damage. LIPA and National Grid need to seriously review all of their operating procedures to ensure the appropriate systems and plans are in place to restore electricity so that future storms do not cripple Long Island for a longer period of time than necessary.

Testifying before the Committee were:

- Michael D. Hervey, Chief Operating Officer of the Long Island Power Authority;
- John Bruckner, President, Long Island Electric Transmission & Distribution Services for National Grid;
- Craig Craft, Acting Commissioner, Nassau County Office of Emergency Management;
- Mayor Mark Weiss of the Village of Hewlett Harbor;
- Mayor James Altadonna of the Village of Massapequa Park;
- Mark Gelish, Owner of Mac's Steakhouse, Huntington, New York; and
- Jim Brown, Business Representative for IBEW Local Union 1049

After reviewing the testimony at the hearing, the Investigations and Government Operations Committee made the following recommendations:

COMMUNICATION

Outreach to Residents

- There was a high level of frustration due to the lack of communication from LIPA and National Grid during the storm outage.
 - LIPA and National Grid need to do more than a daily update newsletter that was issued during the storm.

- LIPA's reverse telephone notification system needs to be updated with cell
 phone numbers to ensure that residents whose home phones may be
 affected by the outage can still be contacted.
- Municipal officials and residents were not made aware of the extent of storm damage to the electrical transmission system on Long Island, leading to an expectation that power would be restored sooner.
 - LIPA and National Grid need to inform the public, through their website, automated calls, and the media, the extent of the storm damage to the transmission and distribution systems and estimated repair times.
 - The level of damage to transmission, substations, and distribution systems needs to be disseminated with estimated time frames for each sector to be restored.
 - Prior to any future storms, LIPA needs to work with the media and local governments to inform residents:
 - of how the Long Island electrical grid functions;
 - of the priority system that LIPA plans to institute to restore power;
 and
 - that priority entities will have their power restoration worked on first, but not necessarily be the first to receive restoration, depending on what is causing the outage, and the level of damage.
- LIPA's outage website was not updated with accurate information.
 - The website displaying information on outages needs to be continuously updated with accurate information regarding power restoration efforts.
 - The website capacity to record and display outage information needs to be expanded to allow its full use during large outages.
 - The website should be expanded to include information on individual outages and downed wires that have been reported to LIPA.

Call Centers

- National Grid's outage Call Centers were not equipped to deal with the volume and type of calls they received. There was a serious lack of professionalism in interactions with callers.
 - All employees handling customer calls should be properly trained in procedures to courteously receive and relay information. This training should include additional personnel that are transferred from other divisions for large outages.
 - Call centers need to have information to refer special needs customers to appropriate assistance, such as County Emergency Management Centers, local hospitals, shelters, and relief agencies.
 - The automated system should be utilized as a last resort, as it increased the frustration level of all customers and resulted in outages also being reported to other entities, such as Emergency Management Centers, or municipal officials.

Outage Reporting

- There were numerous accounts that previously reported outages were not recorded or not reported to the substations, a lack of ability and refusal to take outage information, or relay information to substations.
 - LIPA needs to establish a protocol for the operation of outage call centers to:
 - ensure that customers can report outage information;
 - ensure that outages are properly recorded;
 - ensure that outages are properly reported to linemen and tree trimmers; and
 - allow callers to know if an outage has been properly recorded.

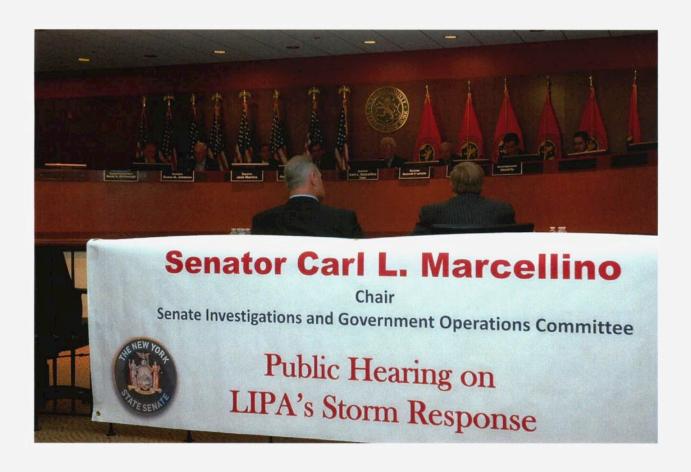
Outreach to Municipal Officials

- LIPA and National Grid implemented an inconsistent system for working with local governments prior to and during the outage.
 - LIPA needs to establish a protocol to communicate with local municipalities prior to and during all future predicted storms, with a priority placed on a system that allows municipalities to raise issues, ask questions and receive answers in real time.
 - Municipalities need to have a direct contact person with LIPA and National Grid and if possible, field staff should be sent to municipalities to aid in the assessment and restoration.
- Municipal officials had difficulty establishing if downed lines were live until days after the storm.
 - LIPA needs to create a system:
 - to quickly receive status reports from municipalities of downed wire and tree locations;
 - to ensure that all downed lines are deelectrified within 24 hours after large storms;
 - to coordinate between first responders, road crews and National Grid regarding downed wires;
 - to work with municipal employees to remove trees and debris from roadways; and
 - to allow municipal officials to communicate with substations regarding outages and downed wires.

Outreach to County Emergency Management Offices

- There was a lack of coordination between County Emergency Management Offices (EMO), LIPA and National Grid. The full resources of the EMOs were not utilized to ensure that residents were safe.
 - LIPA needs to initiate a protocol to work jointly with EMOs during large outages to:
 - utilize the EMOs ability to disseminate information to municipalities, first responders and residents;

- share information and improve liaison efforts between EMOs, LIPA and National Grid with all parties to ensure that EMOs are aware of restoration status;
- predetermine priority roadways for the clearing of wires and trees, and the restoration of traffic signals at critical intersections;
- share appropriate information with LIPA outage call centers to refer special needs customers to appropriate assistance, such as County Emergency Management Centers, local hospitals, shelters, or relief agencies; and
- work with municipalities in identifying municipal facilities that should be placed on the priority restoration list.



STORM RESPONSE AND MAINTENANCE

Restoration Staffing

- National Grid stated they needed 2,000 linemen to restore power after Tropical Storm Irene. This staffing number was not reached until seven days into the restoration.
 - LIPA should re-evaluate the number and type of staff assigned by National Grid to support the Management Services Contract to ensure that enough linemen are available in advance of large storms.
 - LIPA and National Grid need to re-examine their damage assessment systems to incorporate field intelligence gathered from municipal officials, decreasing restoration time.

Substation System

- There was a lack of coordination between linemen and tree crews resulting in tree crews not being able to clear roads because it was unknown if lines were dead.
 - National Grid needs to ensure that all downed lines are dead within 24 hours.
 - Coordination within or between substations needs to be improved to ensure that tree crews are efficiently utilized.
 - Up to date information needs to be relayed to municipal road crews and contracted tree crews on the status of downed lines.
- LIPA has invested in a sectionalized distribution system with disconnect switches, links, cutouts, and reclosers that allow the damaged section of lines to be shut off and power restored to undamaged sections of a feeder line.
 - During the initial assessment of damages, National Grid should identify feeder lines that can be opened and, as soon as possible, reenergize those lines.
 - LIPA should investigate additional new technologies to improve efficiencies in restoring power after an outage.

Maintenance of Electrical System

- LIPA owns 16,300 miles of electrical transmission and distribution wires that deliver power through 177 substations.
 - LIPA needs to ensure that its maintenance plans include aggressively replacing older equipment and wires, as needed.
 - LIPA should investigate the placing of wires underground where appropriate to reduce future storm outages from fallen trees or ice storms.
 - LIPA needs to increase its tree trimming budget to more aggressively prevent future outages.

LIPA MANAGEMENT

- The mismanagement of the power restoration following the storm showed a
 disturbing lack of communication between National Grid, their customers and local
 municipalities; and a serious lack of coordination between outage reports and the
 substations making repairs.
 - LIPA should delay any decision on the new Management Services
 Contract until an independent review has been conducted on the failures
 in responding to Tropical Storm Irene.
- Lack of a permanent Chief Executive Officer (CEO) for LIPA has contributed to inconsistent oversight of the National Grid Management Services Contract.
 - Any new CEO or board member nominee needs to have a deep understanding of utility operations.
- The LIPA Board of Trustees currently has members whose terms have expired.
 - All Trustee positions should be properly filled to ensure that the Board operates effectively.
- The storm restoration budget has been underfunded for years. LIPA currently budgets \$47 million to cover these costs. The estimated cost for power restoration from Tropical Storm Irene is \$176 million.
 - Given the possibility of larger storms hitting Long Island, LIPA needs to set aside additional funds to cover future storm restoration costs, ensuring that ratepayers are not saddled with sudden rate increases.
- LIPA currently exists as a public authority with a large number of its services contracted out to National Grid.
 - A full independent review of LIPA, its current operating structure, and the services that have been contracted out to National Grid should be conducted, including the continued existence of LIPA in its current form.

NEW LAWS AND VETOES

The following investigations and government operations legislation was passed by both houses of the Legislature in 2011. This list encompasses new laws that amended the Tax, State Finance, Legislative, Executive, Public Officers, Public Lands, Public Buildings, Arts and Cultural Affairs or Alcohol Beverage Control laws. For a complete listing of all bills considered by the Investigations and Government Operations Committee, please refer to the Summary of Committee Activity section of this report.

Alcohol Beverage Control

S.3241 Chapter 22

Friends of the Finger Lakes Performing Arts Center GALLIVAN

Adds the Friends of the Finger Lakes Performing Arts Center to the list of premises which are exempt from provisions that restrict manufacturers, wholesalers and retailers from sharing an interest in a liquor license.

S.4143-A Chapter 221

Fine Wine Law YOUNG

Streamlines and improves the licensing and regulation of wineries and farm wineries in New York State.

S.4161 Chapter 560

Municipal Notification of Licensee Changes

LITTLE

Streamlines local notification requirements for premises for on premises consumption, if all seating is at tables.

S.4772 Chapter 475

Sale of Alcohol at "Dinner and a Movie" Theaters

MARCELLINO

Authorizes motion picture theatres to serve alcoholic beverages.

S.5261-A Chapter 442

Extends Temporary Retail Permits

MARCELLINO

Extends provisions of Chapter 396 of the laws of 2010 authorizing the State Liquor Authority to issue temporary retail permits.

Arts and Cultural Affairs Law

S.4493

Chapter 19

Extends Ticket Scalping Law

MARCELLINO

Extends the current ticket scalping provisions on the resale of tickets to places of entertainment thru May 14, 2012.

Government Operations

S.2635

Chapter 156

Bay Shore Armory

JOHNSON

Authorizes the Commissioner of General Services to convey the abandoned Bay Shore State Armory to the Bay Shore union free school district.

Public Buildings Law

S.4030

Chapter 199

Emergency Contracts

MARCELLINO

Extends to June 30, 2011 the ability of the Office of General Services to enter into emergency repairs on contracts for public buildings.

Public Officers Law

S.3255-B

Chapter 603

Availability of Public Documents

SALAND

Requires certain records discussed at an open meeting to be made available to the public prior to such meeting.

S.3489A

Chapter 404

New York City Peace officers

SALAND

Expands New York City's exemption to their residency requirements to include peace officers.

S.4315

Chapter 371

Urban Park Rangers

GOLDEN

Expands New York City's exemption to their residency requirements to urban park rangers.

Tax Law

S.3649-A Chapter 556

GALLIVAN Destruction of Seized and Forfeited Cigarettes and Tobacco Products Discontinues the practice of the State re-selling counterfeit or seized cigarettes.

S.3944 Chapter 521

Reversal of Tax Pre-Payment

DEFRANCISCO

Allows a taxpayer to reverse, upon showing good cause, the election to credit a tax overpayment to the succeeding taxable year.

S.3945 Chapter 469

Offer-In-Compromise Program Reform

DEFRANCISCO

Reforms the Offer-In-Compromise program of the Taxation & Finance Department by adopting standards for assisting all deserving taxpayers.

S.3946 Chapter 432

Tax Collection Liability Collection Limit

DEFRANCISCO

Establishes a 20 year time limit for the State to collect a tax liability and clarifies timelines for filing warrants.

S.4014-A Chapter 196

Ownership or Control of Licensed Premises Agreements

MARCELLINO

Expands the type of agreements that can be used to show control of premises for a license from the State Liquor Authority.

S.4732 Chapter 493

Extend Tax Rate Reductions to Existing REITS

DEFRANCISCO

Extends the tax rate reduction under the NYS real estate transfer tax and the NYC real property transfer tax for conveyances of real property to existing REITS.

S.4770 Chapter 416 RULES

Roscoe-Rockland Fire District Property Tax Exemption

Authorizes the Town of Rockland to accept an application for real property tax exemption from the Roscoe-Rockland Fire District.

S.4813 Chapter 604

Handicapped Vehicle Transportation Tax Credit

FLANAGAN

Creates a tax credit for the purchase of new, handicapped-accessible vehicles by transportation companies.

S.4898 Chapter 105

Use of Arbitration by Metropolitan Transportation Authority

GOLDEN

Extends the use of binding arbitration as a resolution of labor disputes by the Metropolitan Transportation Authority.

S.5140-B Chapter 479

Taxpayer Refund Choice Act

FARLEY

Establishes the taxpayer refund choice act that affirms the right of State residents to receive personal income tax refunds by paper check in addition to electronic deposits or debit cards.

S.5349-A Chapter 591

Clean Heating Fuel Tax Credit

MAZIARZ

Extends the clean heating fuel credit to January 1, 2017.

S.5460-A Chapter 206

Wage Reporting System Access for Comptroller

GRIFFO

Authorizes the Comptroller to have access to the wage reporting system maintained by the Department of Taxation and Finance in order to root out "double dipping" by retirees at the local government level.

S.5587 Veto 83

Investment Tax Credits

ALESI

Authorizes the State to license certified capital companies to raise \$150 million in private venture capital from insurance companies, which would then be invested in qualified businesses in exchange for premium tax credits, whose issuance is delayed four years.

S.5633-B Veto 86

Emerging Technology Tax Credit

ALESI

Extends for companies, the qualified emerging technology tax credit for facilities, operations and training credit through January 1, 2017.

S.5677 Chapter 583

Electronic News and Periodicals Tax Exemption

DEFRANCISCO

Exempts electronic news sources and periodicals from sales and compensating use taxes.

Tax Extenders

S.820 Chapter 223

Albany County Sales Tax

BRESLIN

Extends existing Albany County local sales and compensating use taxes to November 30, 2013.

S.1684 Chapter 115

Schoharie County Sales Tax

SEWARD

Extends existing Schoharie County local sales and compensating use taxes to November 30, 2013.

S.1687 Chapter 391

Schoharie County Mortgage Recording Tax

SEWARD

Extends existing Schoharie County mortgage recording taxes to December 1, 2013.

S.1688 Chapter 110

Otsego County Sales Tax

SEWARD

Extends existing Otsego County local sales and compensating use taxes to November 30, 2013.

S.2401 Chapter 116

Tioga County Sales Tax

LIBOUS

Extends Tioga County local sales and compensating use taxes to November 30, 2013.

S.2716 Chapter 235

Greene County Sales Tax

SEWARD

Extends existing Greene County local sales and compensating use taxes to November 30, 2013.

S.2752 Chapter 49

Chenango County Sales Tax

LIBOUS

Extends existing Chenango County local sales and compensating use taxes to November 30, 2013.

S.2915 Chapter 119

City of New Rochelle Sales Tax

OPPENHEIMER

Extends existing local sales and compensating use taxes for the City of New Rochelle to December 31, 2013.

S.2983 Chapter 392

City of Yonkers Mortgage Recording Tax

STEWART-COUSINS

Extends the existing City of Yonkers mortgage recording tax to August 31, 2013.

S.2984 Chapter 255

City of Yonkers Income Tax

STEWART-COUSINS

Extends the existing City of Yonkers personal income tax surcharge to September 30, 2013.

S.3081 Chapter 85

Broome County Sales Tax LIBOUS

Extends existing Broome County local sales and compensating use taxes to November 30, 2013.

S.3399 Chapter 89

Genesee County Sales Tax RANZENHOFER

Extends existing Genessee County local sale and compensating use taxes to November 30, 2013.

S.3720 Chapter 236

Fulton County Sales Tax FARLEY

Extends existing Fulton County local sales and compensating use taxes to November 30, 2013.

S.3721 Chapter 393

Fulton County Mortgage Recording Tax FARLEY

Extends the existing Fulton County mortgage recording tax to November 30, 2013.

S.3757 Chapter 237

Wyoming County Sales Tax GALLIVAN

Extends existing Wyoming County local sales and compensating use taxes to November 30, 2013.

S.3769 Chapter 238

Montgomery County Sales Tax FARLEY

Extends existing Montgomery County local sales and compensating use taxes to November 30, 2013.

S.3770 Chapter 239

Schenectady County Sales Tax FARLEY

Extends existing Schenectady County local sales and compensating use taxes to November 30, 2013.

S.3792 Chapter 240

Niagara County Sales Tax MAZIARZ

Extends existing Niagara County local sales and compensating use taxes to November 30, 2013.

S.3796 Chapter 30

City of White Plains Sales Tax OPPENHEIMER

Extends existing City of White Plains local sales and compensating use taxes to August 31, 2013.

S.3986 Chapter 107

Livingston County Sales Tax

GALLIVAN

Extends existing Livingston County local sales and compensating use taxes to November 30, 2013.

S.3996 Chapter 625

Clinton County Sales Tax

LITTLE

Extends existing Clinton County local sales and compensating use taxes to November 30, 2013.

S.3997 Chapter 200

Schuyler County Sales Tax

O' MARA

Extends existing Schuyler County local sales and compensating use taxes to November 30, 2013.

S.4021 Chapter 118

Alleghany County Sales Tax

YOUNG

Extends existing Alleghany County local sales and compensating use taxes to November 30, 2013.

S.4022 Chapter 142

Chautauqua County Hotel Tax

YOUNG

Extends existing hotel and motel tax in Chautauqua County to November 30, 2013.

S.4049 Chapter 119

Essex County Sales Tax

LITTLE

Extends existing Essex County local sales and compensating use taxes to November 30, 2013.

S.4162 Chapter 84

Tompkins County Sales Tax

O'MARA

Extends existing Tompkins County local sales and compensating use taxes to November 30, 2013.

S.4164 Chapter 394

Genesee County Mortgage Recording Tax

RANZENHOFER

Extends the existing Genesee County local mortgage recording taxes to November 30, 2013.

S.4186 Chapter 132

Steuben County Sales Tax

O' MARA

Extends existing Steuben County local sales and compensating use taxes to November 30, 2013.

S.4187 Chapter 120

Chemung County Sales Tax O' MARA

Extends existing Chemung County local sales and compensating use taxes to November 30, 2013.

S.4188 Chapter 121

Franklin County Sales Tax LITTLE

Extends existing Franklin County local sales and compensating use taxes to November 30, 2013.

S.4196 Chapter 122

Suffolk County Sales Tax JOHNSON

Extends existing Suffolk County local sales and compensating use taxes to November 30, 2013.

S.4216 Chapter 199

Westchester County Sales Tax OPPENHEIMER

Extends existing Chemung County local sales and compensating use taxes to November 30, 2013.

S.4232 Chapter 123

Yates County Sales Tax O' MARA

Extends existing Yates County local sales and compensating use taxes to November 30, 2013.

S.4233 Chapter 74

Steuben County Sales Tax O' MARA

Extends existing Steuben County local sales and compensating use taxes to December 1, 2014.

S.4300 Chapter 124

Rockland County Sales Tax CARLUCCI

Extends existing Rockland County local sales and compensating use taxes to November 30, 2013.

S.4445 Chapter 224

Rensselaer County Sales Tax MCDONALD

Extends existing Rensselaer County local sales and compensating use taxes to November 30, 2013.

S.4482 Chapter 395

Yates County Mortgage Recording Tax

O' MARA

Extends existing Yates County local mortgage recording taxes to December 1, 2014.

S.4495 Chapter 225

Orleans County Sales Tax MAZIARZ

Extends existing Orleans County local sales and compensating use taxes to November 30, 2013.

S.4543 Chapter 226

Herkimer County Sales Tax SEWARD

Extends existing Herkimer County local sales and compensating use taxes to November 30, 2013.

S.4571 Chapter 227

Ontario County Sales Tax RULES

Extends existing Ontario County local sales and compensating use taxes to November 30, 2013.

S.4572 Chapter 92

Cayuga County Sales Tax RULES

Extends existing Cayuga County local sales and compensating use taxes to November 30, 2013.

S.4573 Chapter 228

Seneca County Sales Tax RULES

Extends existing Seneca County local sales and compensating use taxes to November 30, 2013.

S.4574 Chapter 229

Wayne County Sales Tax RULES

Extends existing Wayne County local sales and compensating use taxes to November 30, 2013.

S.4575 Chapter 230

Monroe County Sales Tax RULES

Extends existing Monroe County local sales and compensating use taxes to November 30, 2013.

S.4627 Chapter 231

Ulster County Sales Tax LARKIN

Extends existing Ulster County local sales and compensating use taxes to November 30, 2013.

S.4638 Chapter 82

Onondaga County Sales Tax DEFRANCISCO

Extends existing Onondaga County local sales and compensating use taxes to November 30, 2013.

S.4650 Chapter 232

Dutchess County Sales Tax SALAND

Extends existing Dutchess County local sales and compensating use taxes to November 30, 2013.

S.4710 Chapter 233

Columbia County Sales Tax SALAND

Extends existing Columbia County local sales and compensating use taxes to November 30, 2013.

S.4711 Chapter 474

Columbia County Real Estate Transfer Tax

SALAND

Extends existing Columbia County real estate transfer taxes to December 31, 2013.

S.4771 Chapter 234

Delaware County Sales Tax

RULES

Extends existing Delaware County local sales and compensating use taxes to November 30, 2013.

S.4784 Chapter 242

Cattaraugus County Sales Tax

YOUNG

Extends existing Cattaraugus County local sales and compensating use taxes to November 30, 2013.

S.4791 Chapter 243

Erie County Sales Tax RANZENHOFER

Extends existing Orange County local sales and compensating use taxes to November 30, 2013.

S.4792 Chapter 244

Orange County Sales Tax

RULES

Extends existing Orange County local sales and compensating use taxes to November 30, 2013.

S.4867 Chapter 245

Oneida County Sales Tax

RULES

Extends existing Oneida County local sales and compensating use taxes to November 30, 2013.

S.4871 Chapter 382

Nassau County Hotel Tax

RULES

Extends existing Nassau County hotel and motel taxes to December 31, 2013.

S.4872 Chapter 246

Nassau County Sales Tax

RULES

Extends existing Nassau County local sales and compensating use taxes to November 30, 2013.

S.4923 Chapter 247

Madison County Sales Tax VALESKY

Extends existing Madison County local sales and compensating use taxes to November 30, 2013.

S.5025 Chapter 248

Cortland County Sales Tax

SEWARD

Extends existing Cortland County local sales and compensating use taxes to November 30, 2013.

S.5026 Chapter 396

Cortland County Mortgage Recording Tax

SEWARD

Extends existing Cortland County mortgage recording tax to December 31, 2013.

S.5059 Chapter 249

Chautauqua County Sales Tax

YOUNG

Extends existing Chautauqua County local sales and compensating use taxes to November 30, 2013.

S.5075 Chapter 397

Warren County Mortgage Recording Tax

LITTLE

Extends existing Warren County mortgage recording tax to December 1, 2014.

S.5158 Chapter 250

Jefferson County Sales Tax

RITCHIE

Extends existing Jefferson County local sales and compensating use taxes to November 30, 2013.

S.5308 Chapter 251

Lewis County Sales Tax

RULES

Extends existing Lewis County local sales and compensating use taxes to November 30, 2013.

S.5320 Chapter 252

Oswego County Sales Tax

RITCHIE

Extends existing Oswego County local sales and compensating use taxes to November 30, 2013.

S.5331 Chapter 113

Putnam County Sales Tax

RULES

Extends existing Putnam County local sales and compensating use taxes to November 30, 2013.

S.5366 Chapter 253

Sullivan County Sales Tax

RULES

Extends existing Sullivan County local sales and compensating use taxes to November 30, 2013.

S.5527 Chapter 209

New York City Sales Tax

RULES

Extends existing New York City local sales and compensating use taxes to December 31, 2014.

S.5547 Chapter 254

Oswego County Sales Tax

RITCHIE

Extends existing City of Oswego local sales and compensating use taxes to November 30, 2013.

• SUMMARY OF COMMITTEE ACTIVITY •

S.22	SQUADRON	Amends the tax law to set the minimum income for filing.	06/02/11 Reported to Finance
S.63	DIAZ	Establishes a gift for preserving religious landmarks on personal income tax forms and the grant program to preserve inner-city houses of worship.	03/22/11 Defeated in Committee
S.120	DIAZ	Amends the tax law to create a credit for the purchase and installation of grab bars by seniors.	03/22/11 Defeated in Committee
S.136	LITTLE	Establishes a veterans' cemetery operation and maintenance fund and income tax form check off.	01/24/11 Reported to Finance
S.149-	B MAZIARZ	Expands existing tax credit for solar energy systems to include leased systems.	06/07/11 Reported to Finance
S.220	MAZIARZ	Amends the tax law to exempt compensation for active military service from inclusion in a resident's adjusted gross income.	05/24/11 Reported to Finance
S.262	DIAZ	Amends the tax law to increase the tax on certain life insurance policies to 50%.	03/22/11 Defeated in Committee
S.284	DIAZ	An act to amend the legislative law, in relation to prohibiting outside work by a legislator during the term of office.	03/22/11 Defeated in Committee
S.285-	A DIAZ	Clarifies the prohibition on the use of lottery funds for purposes other than education.	06/21/11 Passed Senate
S.313	DIAZ	Amends the alcoholic beverage control law, to require bars to post a sign alerting patrons who consume alcohol that assistance in obtaining safe transportation shall be provided.	03/22/11 Calendar #246
S.347	DIAZ	Amends the tax law to have the issuers of credit cards and debit cards to remit sales and use taxes	03/22/11 Defeated in Committee
S.353	ROBACH	Creates an income tax check off for contribution to the animal population control fund.	01/24/11 Reported to

S.381 KRUEGER	Amends the legislative law, for a standing committee on conference to resolve differences between similar, but not identical bills and resolutions passing the Senate and Assembly.	Finance 04/12/11 Defeated in Committee
S.498 ROBACH	Amends the executive law, relating to the prohibiting housing discriminatory against domestic violence victims.	01/24/11 Reported to Codes
S.811 LAVALLE	Amends the public officers law, to expand the definition of a public body to include advisory boards under the open meetings law.	05/10/11 Calendar #588
S.817 LAVALLE	Amends the tax law, to create a tax credit for the removal of a residential fuel oil storage tank.	06/02/11 Reported to Finance
S.820 BRESLIN	Extends existing Albany County local sales and compensating use taxes to November 30, 2013.	07/28/11 Chapter 223
S.835 YOUNG	Amends the tax law, to exempt domestic and imported game animals harvested at a game hunting preserve from sales and compensating use tax.	06/2/11 Reported to Finance
S.1007 LAVALLE	Amends the tax law, to exempt the purchase of military service flags, prisoner of war flags and blue star banners from sales tax.	06/02/11 Reported to Finance
S.1200 GRIFFO	Amends the tax law, to expand the current tax exemption for biodiesel to include all blends.	06/16/11 Passed Senate
S.1436 GOLDEN	Amends the tax law, to create an income tax credit for elementary and secondary school tuition.	06/02/11 Reported to Finance
S.1505 GRIFFO	Amends the tax law, to allow volunteer firefighters' and ambulance workers' to receive both the real property tax credit and the income tax credit for their service.	06/13/11 Reported to Rules
S.1682 SEWARD	Amends the State law, to designate the quartz variety Herkimer Diamond as the official state mineral.	06/21/11 Passed Senate
S.1684 SEWARD	Extends existing Schoharie County local sales and compensating use taxes to November 30, 2013.	07/12/11 Chapter 115
S.1687 SEWARD	Extends existing Schoharie County mortgage recording taxes to December 1, 2013.	08/15/11 Chapter 391

S.1688 SEWARD	Extends existing Otsego County local sales and compensating use taxes to November 30, 2013.	07/12/11 Chapter 110
S.1797-A VALESKY	Amends the tax law, to allow tax credits for the rehabilitation of historic properties to be claimed against current tax liabilities.	06/07/11 Reported to Finance
S.2378 SEWARD	Amends the alcoholic beverage control tax law, to Create a multiple event caterer's permit.	06/13/11 Passed Senate
S.2401 LIBOUS	Extends Tioga County local sales and compensating use taxes to November 30, 2013.	07/12/11 Chapter 116
S.2467-A LIBOUS	Amends the tax and state finance law, to deposit a portion of the sales tax revenue from the sale of motor fuel into the dedicated highway and bridge trust fund.	06/14/11 Passed Senate
S.2629 MARCELLINO	Amends the public lands tax law, to require a recycling program on all state-owned and state-leased real property.	04/12/11 Calendar #359
S.2631 MARCELLINO	Amends the tax law, to require the timely payment of tax refunds	04/12/11 Passed Senate
S.2635A JOHNSON	Authorizes the Commissioner of General Services to convey the abandoned Bay Shore state armory to the Bay Shore union free school district.	07/20/11 Chapter 156
S.2678 LARKIN	Amends the tax law, to exempt aircraft purchased in the state from sales tax.	06/23/11 Passed Senate
S.2716 SEWARD	Extends existing Greene County local sales and compensating use taxes to November 30, 2013.	07/28/11 Chapter 235
S.2728-A FUSCHILLO	Amends the tax law, to allow a pretax deduction of commuting expenses.	04/11/11 Passed Senate
S.2732 GOLDEN	Amends the tax law, to create a tax credit for donations to public or private schools.	06/21/11 Passed Senate
S.2752 LIBOUS	Extends existing Chenango County local sales and compensating use taxes to November 30, 2013.	06/08/11 Chapter 49
S.2915 OPPENHEIMER	Extends existing local sales and compensating use taxes for the City of New Rochelle to December 31, 2013.	07/12/11 Chapter 11
S.2983 STEWART- COUSINS	Extends the existing City of Yonkers mortgage recording tax to August 31, 2013.	08/15/11 Chapter 392

S.2984 STEWART- COUSINS	Extends the existing City of Yonkers personal income tax surcharge to September 30, 2013.	07/28/11 Chapter 255
S.3081 LIBOUS	Extends existing Broome County local sales and compensating use taxes to November 30, 2013.	06/22/11 Chapter 85
S.3188 RANZENHOFER	Amends the alcoholic beverage control law, to authorize the courts to suspend a driver's license where the holder fails to appear before the court, pay a fine, complete an alcohol awareness program or complete community service within the period of time established by such court.	05/17/11 Passed Senate
S.3191 RANZENHOFER	Amends the tax law, to create a small business electric energy tax credit.	06/13/11 Reported to Rules
S.3193 RANZENHOFER	Amends the tax law, to exempt commercial horse boarding services from sales tax.	06/07/11 Reported to Finance
S.3194 RANZENHOFER	Amends the tax law, to exempt farrier supplies and services from the sales tax.	06/02/11 Reported to Finance
S.3238 MAZIARZ	Amends the tax law, to create a personal income tax credit for solar and wind energy systems.	06/02/11 Reported to Finance
S.3241 GALLIVAN	Adds the Friends of the Finger Lakes Performing Arts Center to the list of premises which are exempt from provisions that restrict manufacturers, wholesalers and retailers from sharing an interest in a liquor license.	05/17/11 Chapter 22
S.3255-B SALAND	Amends the public officers law, to require certain records that are discussed during an open meeting be made available to the public.	01/03/12 Chapter 603
S.3292 DEFRANCISCO	Amends the tax and state finance laws, to create an income tax check off for the diabetes research and education fund.	06/02/11 Calendar # 958
S.3399 RANZENHOFER	Extends existing Genessee County local sale and compensating use taxes to November 30, 2013.	06/02/11 Chapter 89
S.3410 GOLDEN	Amends the tax law, to cap the tax on cigars at \$1.	06/21/11 Passed Senate

S.3445 LIBOUS	Amends the tax law, to exempt food and beverages sold from vending machines that are priced under \$1.50 from sales tax.	06/02/11 Reported to Finance
S.3649A GALLIVAN	Discontinues the practice of the State re-selling counterfeit or seized cigarettes.	09/23/11 Chapter 556
S.3720 FARLEY	Extends existing Fulton County local sales and compensating use taxes to November 30, 2013.	07/28/11 Chapter 236
S.3721 FARLEY	Extends the existing Fulton County mortgage recording tax to November 30, 2013.	08/15/11 Chapter 393
S.3757 GALLIVAN	Extends existing Wyoming County local sales and compensating use taxes to November 30, 2013.	07/28/11 Chapter 237
S.3769 FARLEY	Extends existing Montgomery County local sales and compensating use taxes to November 30, 2013.	07/28/11 Chapter 238
S.3770 FARLEY	Extends existing Schenectady County local sales and compensating use taxes to November 30, 2013.	07/28/11 Chapter 239
S.3792 MAZIARZ	Extends existing Niagara County local sales and compensating use taxes to November 30, 2013.	07/28/11 Chapter 240
S.3796 OPPENHEIMER	Extends existing City of White Plains local sales and compensating use taxes to August 31, 2013.	05/24/11 Chapter 30
S.3848 OPPENHEIMER	Amends the tax law, to exempt feminine hygiene products from sales tax.	05/24/11 Reported to Finance
S.3944 DEFRANCISCO	Allows a taxpayer to reverse, upon showing good cause, the election to credit a tax overpayment to the succeeding taxable year.	09/23/11 Chapter 521
S.3945 DEFRANCISCO	Reforms the Offer-In-Compromise program of the Taxation & Finance Department by adopting standards for assisting all deserving taxpayers.	08/17/11 Chapter 469
S.3946 DEFRANCISCO	Establishes a 20 year time limit for the State to collect a tax liability and clarifies timelines for filing warrants.	08/17/11 Chapter 432
S.3986 GALLIVAN	Extends existing Livingston County local sales and compensating use taxes to November 30, 2013.	07/12/11 Chapter 117

S.3996 LITTLE	Extends existing Clinton County local sales and compensating use taxes to November 30, 2013.	07/28/11 Chapter 256
S.3997 O'MARA	Extends existing Schuyler County local sales and compensating use taxes to November 30, 2013.	07/28/11 Chapter 241
S.3998-A LAVALLE	Amends the tax law, to clarify the definition of a resident for the purposes of the personal income tax.	06/21/11 Calendar #963
S.4014 MARCELLINO	Expands the type of agreements that can be used to show control of premises for a license from the State Liquor Authority.	07/20/11 Chapter 196
S.4021 YOUNG	Extends existing Alleghany County local sales and compensating use taxes to November 30, 2013.	07/21/11 Chapter 118
S.4022 YOUNG	Extends existing hotel and motel tax in Chautauqua County to November 30, 2013.	07/18/11 Chapter 142
S.4030 MARCELLINO	Extends to June 30, 2013 the ability of the Office of General Services to enter into emergency repairs on contracts for public buildings.	06//28/11 Chapter 99
S.4049 LITTLE	Extends existing Essex County local sales and compensating use taxes to November 30, 2013.	07/12/11 Chapter 119
S.4088 MARCELLINO	Amends the tax law, to include village taxes in the conservation easement tax credit.	06/02/11 Reported to Finance
S.4107 ADDABBO	Amends the tax law, to establish a small business tax credit for the employment of disabled persons.	05/24/11 Reported to Finance
S.4113 GRIFFO	Amends the public officers law, to allow the Governor to call for a special election for vacancies in the office of comptroller or attorney-general.	06/07/11 Calendar #1117
S.4143 YOUNG	Streamlines and improves the licensing and regulation of wineries and farm wineries in New York State.	07/22/11 Chapter 221
S.4161 LITTLE	Streamlines local notification requirements for premises for on premises consumption, if all seating is at tables	09/23/11 Chapter 560
S.4162 O'MARA	Extends existing Tompkins County local sales and compensating use taxes to November 30, 2013.	06/22/11 Chapter 84

S.4164 RANZENHOFER	Extends the existing Genesee County local mortgage recording taxes to November 30, 2013.	08/15/11 Chapter 394
S.4172 ALESI	Amends the tax law, to phase out the franchise tax on manufacturers.	06/02/11 Reported to Finance
S.4180 RANZENHOFER	Amends the tax law, to suspend the sales tax on disaster clean-up items during a state of emergency	06/02/11 Reported to Finance
S.4186 O'MARA	Extends existing Steuben County local sales and compensating use taxes to November 30, 2013.	07/15/11 Chapter 132
S.4187 O'MARA	Extends existing Chemung County local sales and compensating use taxes to November 30, 2013.	07/12/11 Chapter 120
S.4188 LITTLE	Extends existing Franklin County local sales and compensating use taxes to November 30, 2013.	07/12/11 Chapter 121
S.4190 MARCELLINO	Amends the alcoholic beverage control law, to grant the liquor authority rule making authority	04/12/11 Calendar #363
S.4191 MARCELLINO	Amends the public buildings law, to require shielded outdoor lighting be installed by state agencies and public corporations.	04/12/11 Calendar #364
S.4196 JOHNSON	Extends existing Suffolk County local sales and compensating use taxes to November 30, 2013.	07/12/11 Chapter 122
S.4204 GRISANTI	Amends the public buildings, general municipal, executive, environmental conservation, and state finance laws, to enact the "bird-friendly buildings act." Finance	06/07/11 Reported to
S.4216 OPPENHEIMER	Extends existing Chemung County local sales and compensating use taxes to November 30, 2013.	07/20/11 Chapter 199
S.4232 O'MARA	Extends existing Yates County local sales and compensating use taxes to November 30, 2013.	07/12/11 Chapter 123
S.4233 O'MARA	Extends existing Steuben County local sales and compensating use taxes to December 1, 2014.	06/08/11 Chapter 74
S.4271 LITTLE	Amends the tax law, to establish a tax check off for the state parks fund.	6/07/11 Reported to Finance

S.4300 CARLUCCI	Extends existing Rockland County local sales and compensating use taxes to November 30, 2013.	07/12/11 Chapter 124
S.4303-B MARCELLINO	Amends the alcoholic beverage control law, to expand the powers of the chairman of the liquor authority.	05/24/11 Calendar #859
S.4431 NOZZOLIO	Amends the state law, to make sweet corn the State vegetable.	06/21/11 Passed Senate
S.4445 MCDONALD	Extends existing Rensselaer County local sales and compensating use taxes to November 30, 2013.	07/28/11 Chapter 224
S.4482 O'MARA	Extends existing Yates County local mortgage recording taxes to December 1, 2014.	08/15/11 Chapter 395
S.4493 MARCELLINO	Extends the current ticket scalping provisions on the resale of tickets to places of entertainment thru May 14, 2012.	05/13/11 Chapter 19
S.4495 MAZIARZ	Extends existing Orleans County local sales and compensating use taxes to November 30, 2013.	07/28/11 Chapter 225
S.4499 MARCELLINO	Amends the alcoholic beverage control law, to require proof of primary source for alcoholic beverages shipped into the state.	06/07/11 Reported to Finance
S.4543 SEWARD	Extends existing Herkimer County local sales and compensating use taxes to November 30, 2013.	07/28/11 Chapter 226
S.4571 RULES	Extends existing Ontario County local sales and compensating use taxes to November 30, 2013.	07/28/11 Chapter 227
S.4572 RULES	Extends existing Cayuga County local sales and compensating use taxes to November 30, 2013.	06/22/11 Chapter 92
S.4573 RULES	Extends existing Seneca County local sales and compensating use taxes to November 30, 2013.	07/28/11 Chapter 228
S.4574 RULES	Extends existing Wayne County local sales and compensating use taxes to November 30, 2013.	07/28/11 Chapter 229
S.4575 RULES	Extends existing Monroe County local sales and compensating use taxes to November 30, 2013.	07/28/11 Chapter 230
S.4623 LAVALLE	Amends the tax law, to extend the payback on the solar energy system equipment tax credit from 5 to 10 years.	06/07/11 Calendar #1118

S.4627 LARKIN	Extends existing Ulster County local sales and compensating use taxes to November 30, 2013.	07/28/11 Chapter 231
S.4638 DEFRANCISCO	Extends existing Onondaga County local sales and compensating use taxes to November 30, 2013.	06/22/11 Chapter 82
S.4650 SALAND	Extends existing Dutchess County local sales and compensating use taxes to November 30, 2013.	07/28/11 Chapter 232
S.4710 SALAND	Extends existing Columbia County local sales and compensating use taxes to November 30, 2013.	07/28/11 Chapter 233
S.4711 SALAND	Extends existing Columbia County real estate transfer taxes to December 31, 2013.	08/17/11 Chapter 474
S.4771 RULES	Extends existing Delaware County local sales and compensating use taxes to November 30, 2013.	07/28/11 Chapter 234
S.4772 MARCELLINO	Authorizes motion picture theatres to serve alcoholic beverages.	08/17/11 Chapter 475
S.4784 YOUNG	Extends existing Cattaraugus County local sales and compensating use taxes to November 30, 2013.	07/28/11 Chapter 242
S.4791 RANZENHOFER	Extends existing Erie County local sales and compensating use taxes to November 30, 2013.	07/28/11 Chapter 243
S.4792 RULES	Extends existing Orange County local sales and compensating use taxes to November 30, 2013.	07/28/11 Chapter 244
S.4809 LANZA	Amends the alcoholic beverage control law, to require a ban on the licensure of a premise be filed with the County Clerk.	06/02/11 Calendar #941
S.4813 FLANAGAN	Amends the tax law, to create a tax credit for the purchase of handicap accessible taxi cabs.	01/03/11 Chapter 604
S.4867 RULES	Extends existing Oneida County local sales and compensating use taxes to November 30, 2013.	07/28/11 Chapter 245
S.4871 RULES	Extends existing Nassau County hotel and motel taxes to December 31, 2013.	08/03/11 Chapter 382
S.4872 RULES	Extends existing Nassau County local sales and compensating use taxes to November 30, 2013.	07/28/11 Chapter 246

S.4880 BALL	Amends the tax, and general business laws, to suspend the gasoline tax on summer holiday weekends in 2011.	05/24/11 Passed Senate
S.4890 GOLDEN	Amends the tax law and New York City's administrative Code, to clarify who is liable for the banking tax.	06/07/11 Calendar #1120
S.4898 GOLDEN	Extends the use of binding arbitration as a resolution of labor disputes by the Metropolitan Transportation Authority.	06/30/11 Chapter 105
S.4923 VALESKY	Extends existing Madison County local sales and compensating use taxes to November 30, 2013.	07/28/11 Chapter 247
S.4943 YOUNG	Amends the tax law, to require the use of regional external indices when determining sales tax due.	06/16/11 Passed Senate
S.4944 YOUNG	Amends the tax law, to establish a voluntary recordkeeping compliance program for sales tax liability.	06/07/11 Reported to Finance
S.4962 BALL	Amends the tax law, to allow farmers leasing land to be eligible for the agricultural property tax credit.	06/07/11 Reported to Finance
S.5016-A DEFRANCISCO	Amends the tax law, to use the inflation rate in the calculation of deductions and tax rates.	06/07/11 Reported to Finance
S.5025 SEWARD	Extends existing Cortland County local sales and compensating use taxes to November 30, 2013.	07/28/11 Chapter 248
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S.5075 LITTLE	Extends existing Warren County mortgage recording tax to December 1, 2014.	08/15/11 Chapter 397
S.5140-B FARLEY	Establishes the taxpayer refund choice act that affirms the right of State residents to receive personal income tax refunds by paper check in addition to electronic deposits or debit cards.	08/17/11 Chapter 479
S.5141 MAZIARZ	Amends the tax law, to create a qualified solar manufacturer facilities and operations credit.	06/07/11 Reported to Finance

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S.5235 MARTINS	Amends the tax law, to clarify that waste handled at a transfer facility is not subject to sales tax.	06/20/11 Passed Senate
S.5240 MAZIARZ	Amends the alcoholic beverage control law, to create a special event permit for winery sales.	06/07/11 Reported to Finance
S.5261 MARCELLINO	Extends provisions of Chapter 396 of the laws of 2010 authorizing the State Liquor Authority to issue temporary retail permits.	08/17/11 Chapter 442
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